

# Surface Enhanced Raman Spectroscopy (SERS) and Databases for the Characterization of Dyes

**Deanna O'Donnell, Ph.D.**

Trace Evidence Symposium  
*Kansas City, Missouri*

August 11<sup>th</sup>, 2011

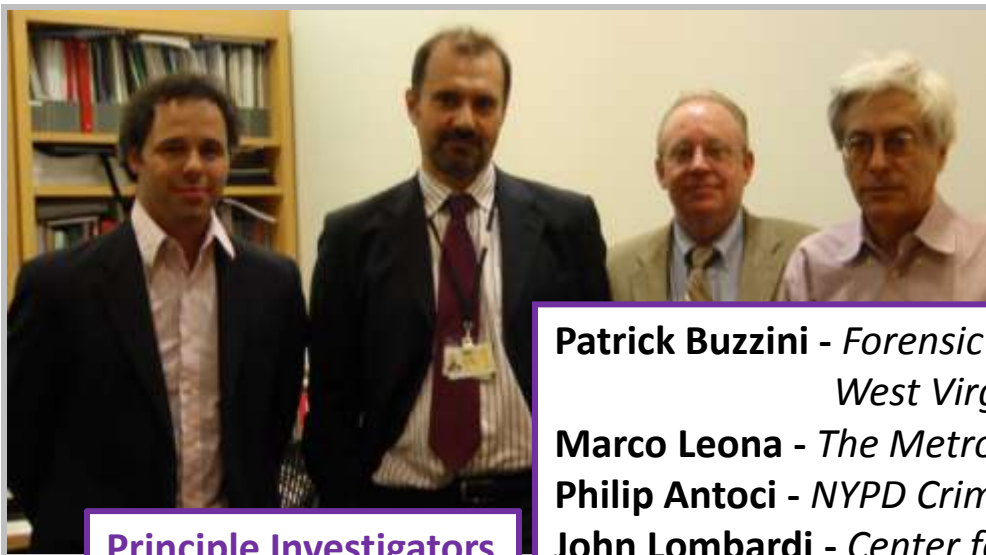


*The following research was conducted at*  
City College of New York  
Metropolitan Museum of Art  
New York, NY



# Research Objectives

- To **validate** SERS in a forensic context, and show the **conditions** under which Raman spectroscopy and especially SERS contribute to the value of forensic science.
- To compare both **SERS** and **normal Raman** spectra, and to **explore the conditions** in which each may be of value.
- To validate certain **specialized SERS techniques**
- To provide useful **protocols** for use of **field workers**
- To provide a **searchable database** for rapid and reliable field use.



**Principle Investigators**  
(left to right):

**Patrick Buzzini** - *Forensic & Investigative Science Program,  
West Virginia University*

**Marco Leona** - *The Metropolitan Museum of Art, New York*

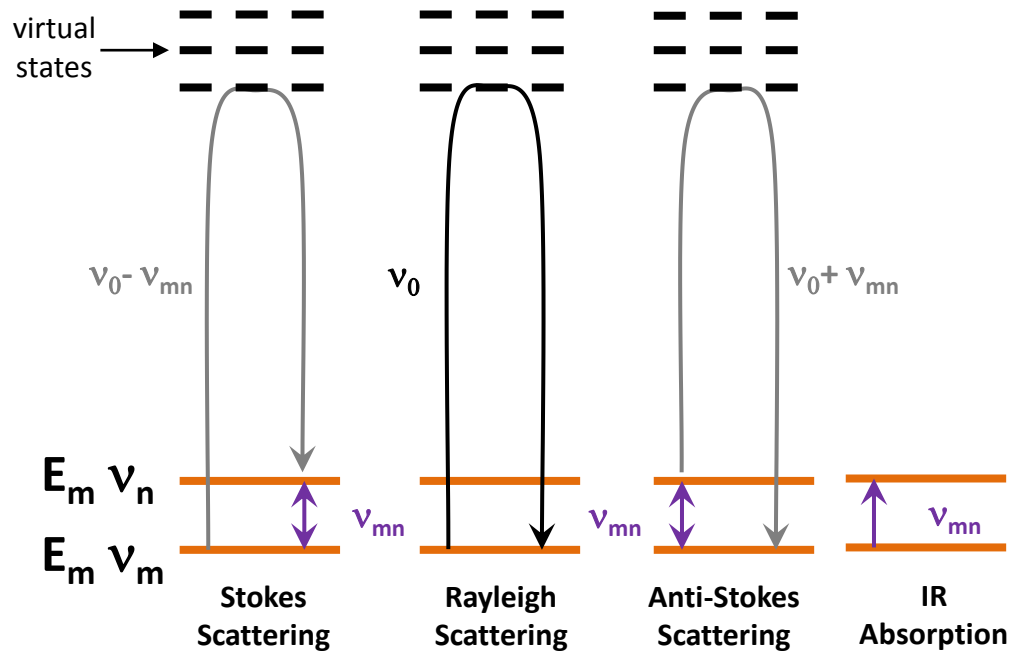
**Philip Antoci** - *NYPD Crime Laboratory*

**John Lombardi** - *Center for the Analysis of Structures and Interfaces,  
City College of New York*

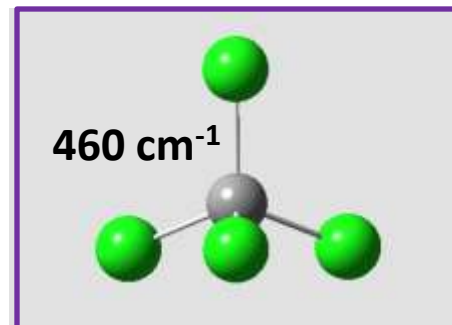
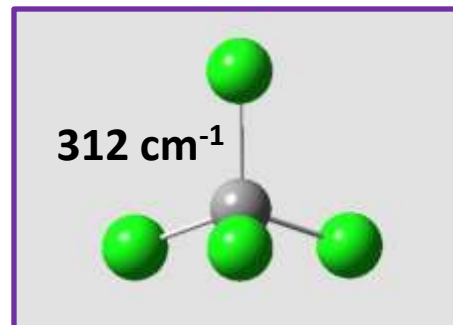
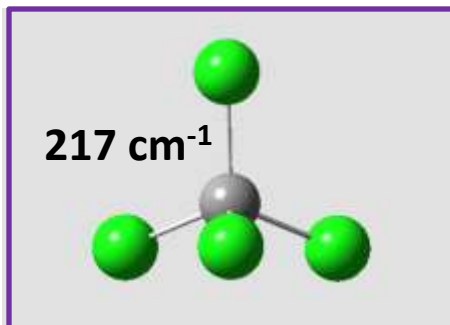
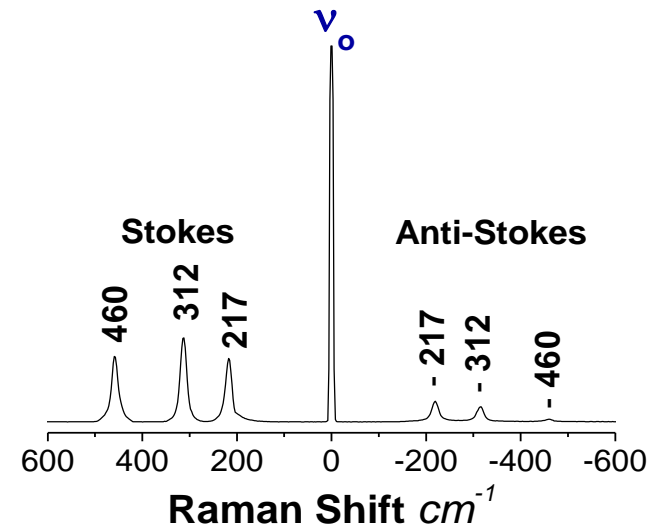
# Why Raman Spectroscopy?

Raman is non-destructive and allows *in-situ* detection

Raman spectra may readily be obtained in aqueous solution (not possible with IR)



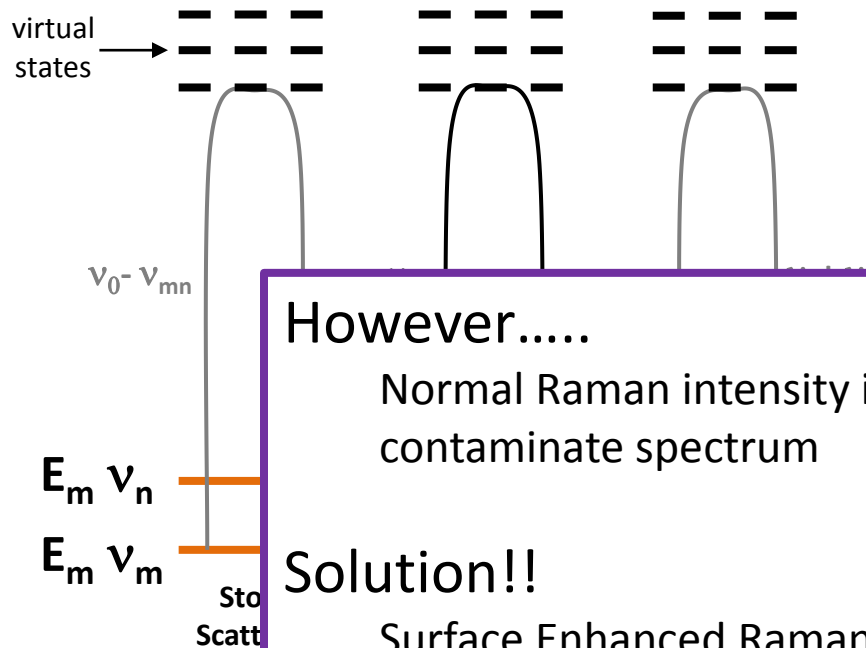
## Stokes and Anti-stokes Raman Spectrum of $\text{CCl}_4$



# Why Raman Spectroscopy?

Raman is non-destructive and allows *in-situ* detection

Raman spectra may readily be obtained in aqueous solution (not possible with IR)



## Stokes and Anti-stokes Raman Spectrum of $\text{CCl}_4$

$v_0$

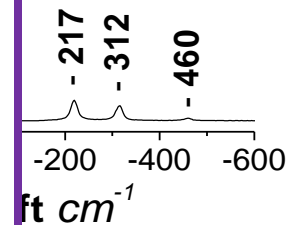
However.....

Normal Raman intensity is weak & fluorescence can contaminate spectrum

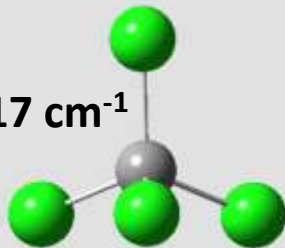
**Solution!!**

Surface Enhanced Raman Scattering (SERS)

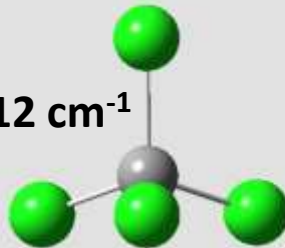
Anti-Stokes



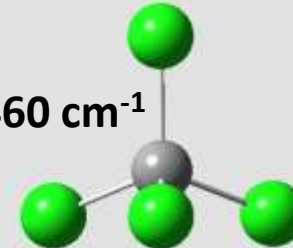
$217 \text{ cm}^{-1}$



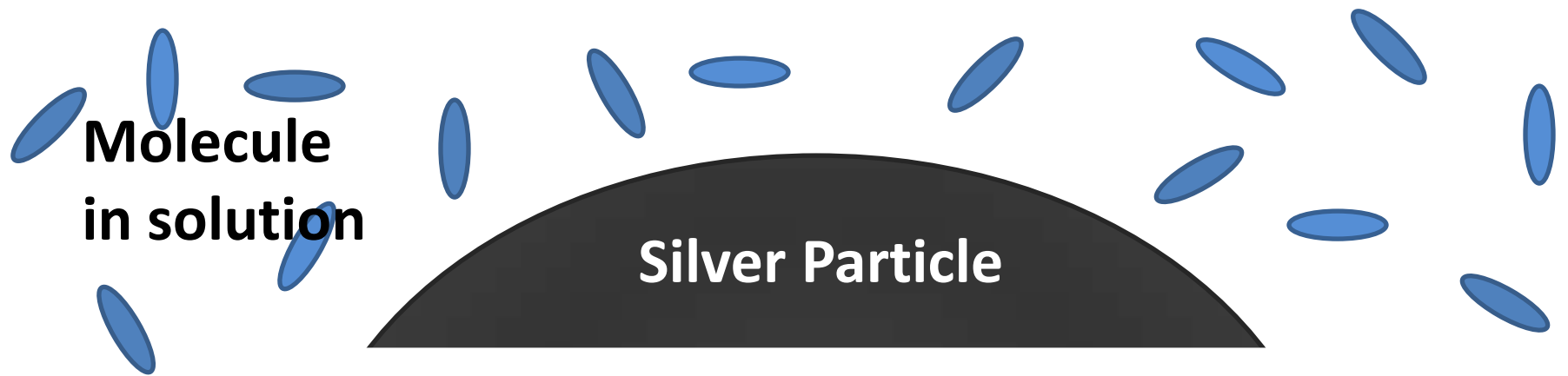
$312 \text{ cm}^{-1}$



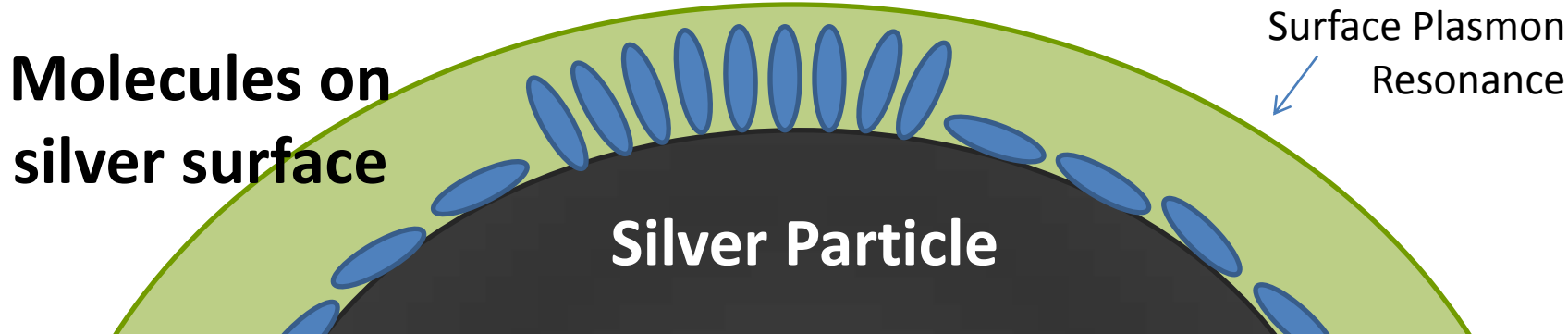
$460 \text{ cm}^{-1}$



# Surface Enhanced Raman Spectroscopy (SERS)



# Surface Enhanced Raman Spectroscopy (SERS)

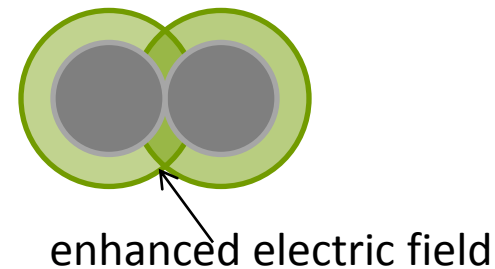


## Advantages:

- **Highly sensitive:** Very large enhancements of the Raman signal. This enables us to detect and identify extremely small quantities of trace chemicals.
- **Suppresses fluorescence:** Suppression of fluorescence interference, which normally makes such identification impossible.

## Factors that alter SERS intensity:

- Type of metal nanoparticle; usually silver
- Size and shape of particle
- Excitation wavelength
- Presence of ions such as  $\text{Cl}^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$



# Surface Enhanced Raman Spectroscopy (SERS)

Molecules on silver surface

Surface Plasmon Resonance

Silver Particle

SERS has its own set of challenges...

- small number of compounds studied so far
- large difference in SERS efficiency even for closely related compounds
- lack of searchable databases
- interferences due to impurities or matrix components (not a separation technique)
- SERS still requires removing a sample - however microscopic - from the object under analysis

Advantages

- **Highly sensitive** enables detection of chemical species
- **Suppression of background** which improves signal-to-noise ratio

Factors that affect SERS

- Type of substrate
- Size and shape of particle
- Excitation wavelength
- Presence of ions such as  $\text{Cl}^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$

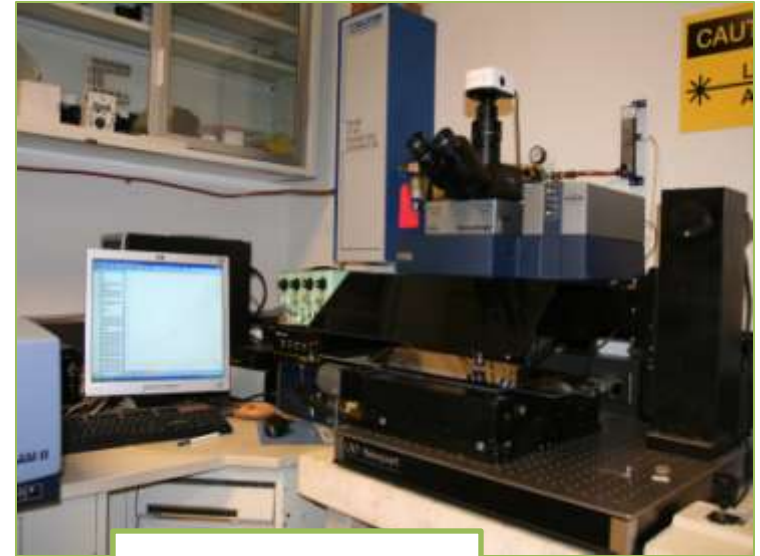
signal. This is due to the presence of trace amounts of the analyte. This signal is enhanced by the surface plasmon resonance, which is a collective oscillation of the conduction electrons in the metal particle.

enhanced electric field

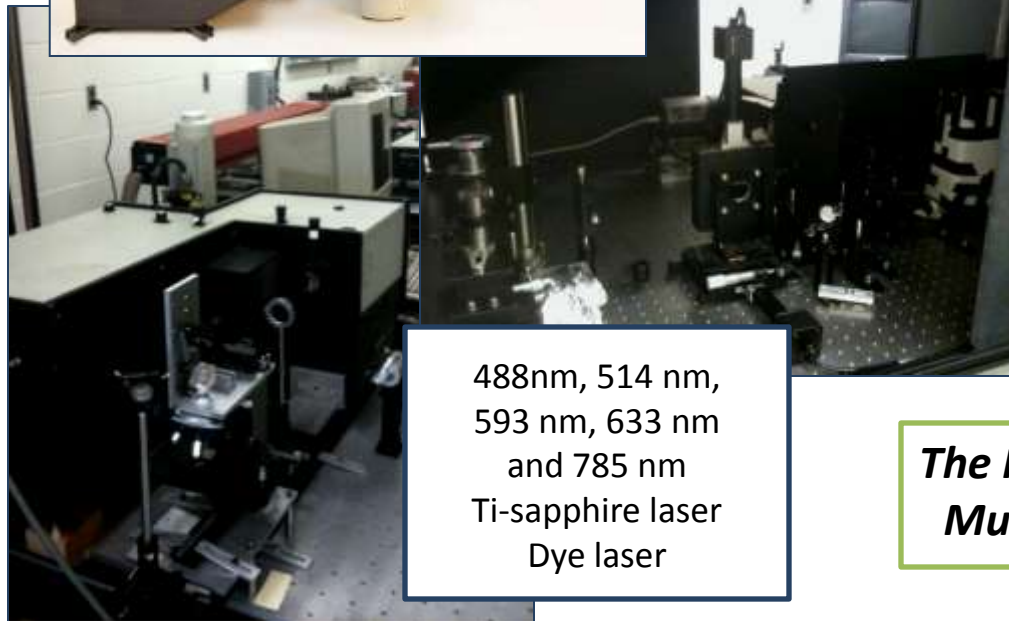
# Instrumentation

## *City College of New York*

488nm, 514 nm,  
633 nm and 785 nm

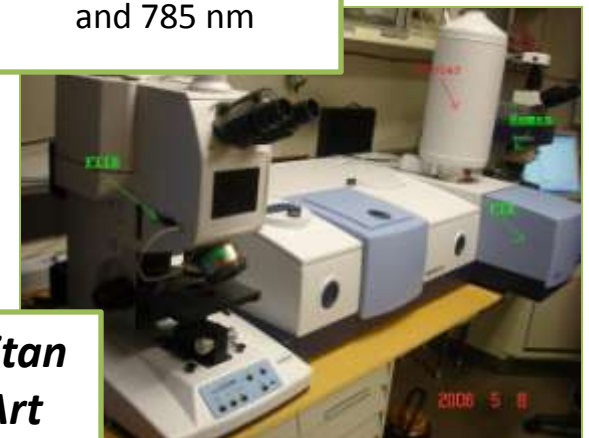


488nm, 633 nm  
and 785 nm



488nm, 514 nm,  
593 nm, 633 nm  
and 785 nm  
Ti-sapphire laser  
Dye laser

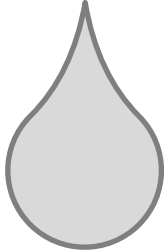
## *The Metropolitan Museum of Art*



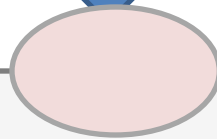


# Basic Steps to SERS

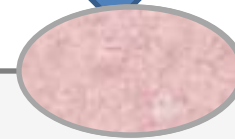
5  $\mu\text{l}$  of Ag colloids



1  $\mu\text{l}$  of  $10^{-4}$ - $10^{-7}$  M aqueous dye



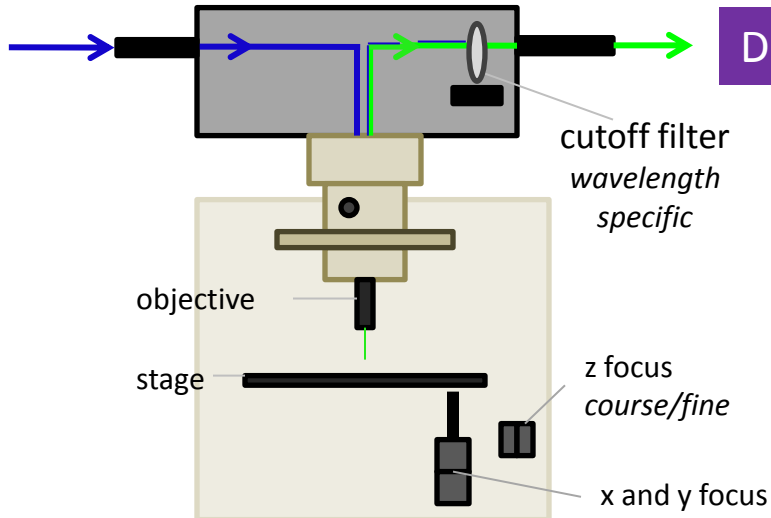
1  $\mu\text{l}$  of 0.5-0.1 M aggregate



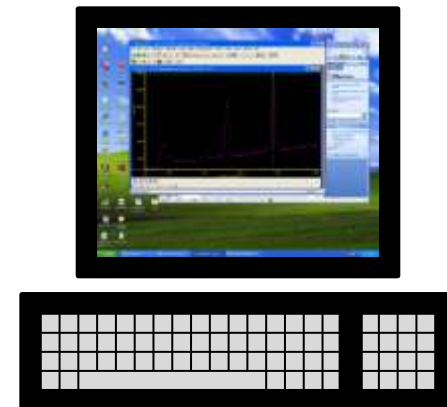
## Raman Microscope

**SOURCE**

488 nm  
514.5 nm  
632.8 nm  
785 nm



**DETECTOR**



# Current Research Projects

## Developing Methods

- Need ways to acquire trace amounts of analyte in a “non-destructive manner”  
*Gel Extraction*
- Need a reliable substrate to yield reproducible spectra  
*SERS substrate*

## Applications

- Art History/Conservation  
*Xanthene Dyes*
- Forensic Science: Controlled Substances  
*SERS + Amphetamine*
- Forensic Science/Anthropology  
*SERS of Tattoo Inks*

# Gel Extraction Method

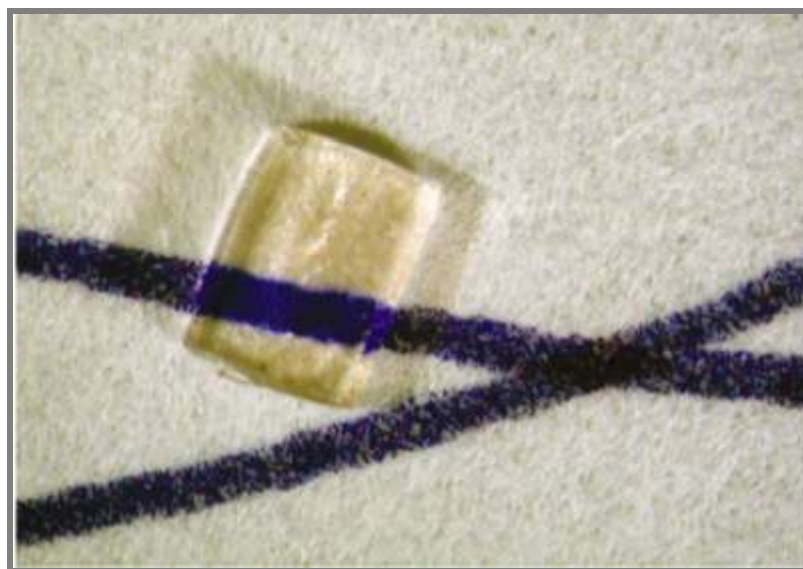
GOAL: Develop Non-Destructive Gel Extraction Method

## Idea

- Ink or dye can be extracted from a medium using a hydroxy gel
- The gel extracts such a small amount of dye that it leaves the test subject virtually unchanged
  - *Important in trace analysis*
- The extracted dye can then be analyzed and identified using normal Raman spectroscopy or SERS

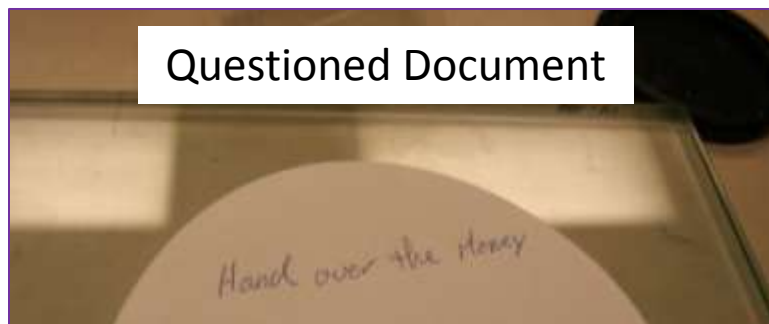
## Model System

- Ball Point Pen Ink on Whatman Filter Paper

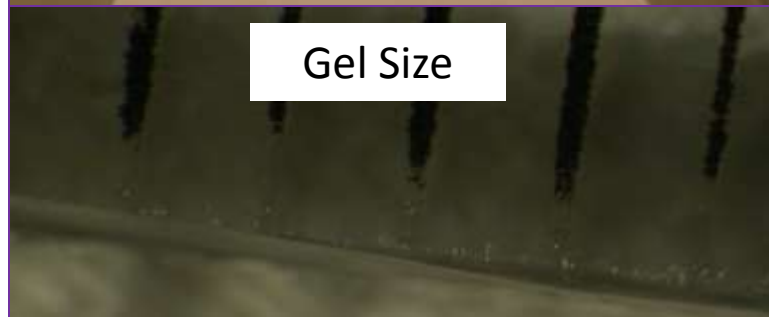


# Gel Extraction Method

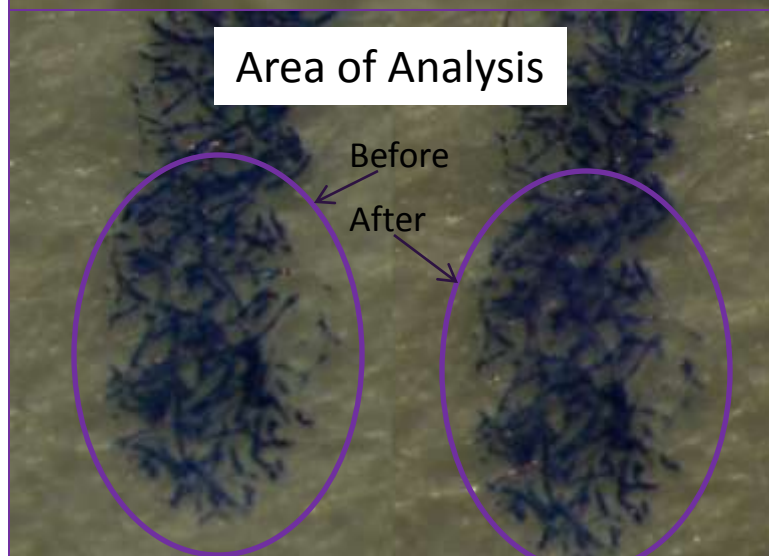
Questioned Document



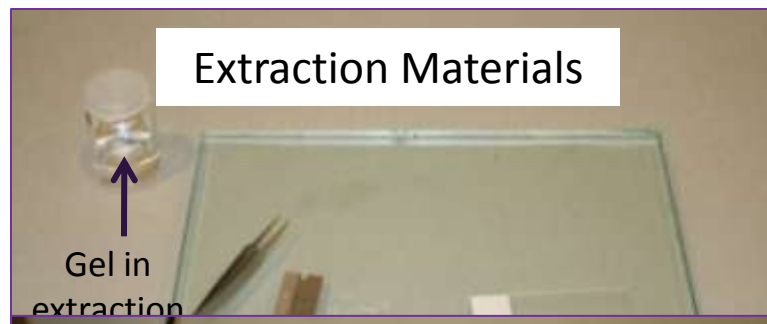
Gel Size



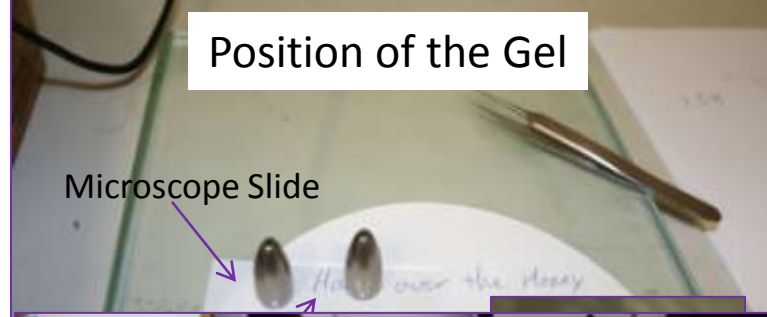
Area of Analysis



Extraction Materials

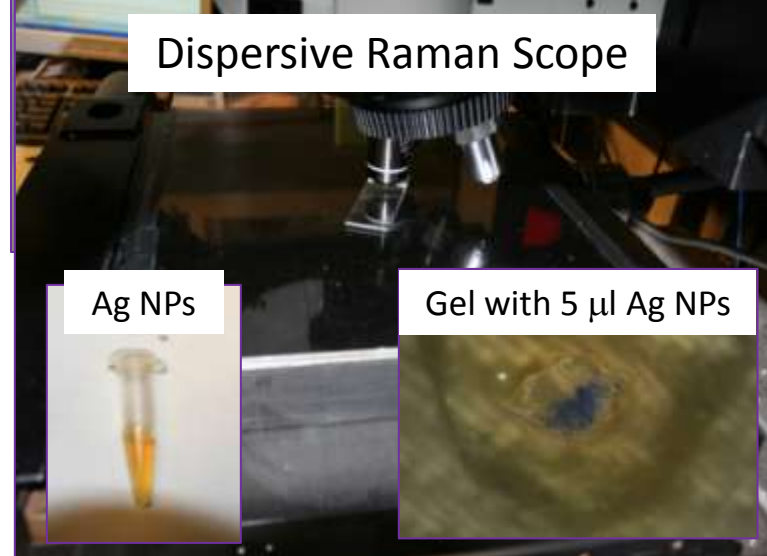


Position of the Gel



Microscope Slide

Dispersive Raman Scope



Ag NPs

Gel with 5 μl Ag NPs

# Gel Extraction Method

SERS spectrum of Extracted

Identification of ...

## Conclusion

- The gel extraction method is a fast, simple, versatile, and non destructive method for extracting inks and dyes
- Coupled with SERS, it becomes a powerful tool for chemical analysis

**Nondestructive Identification of Natural and Synthetic Organic Colorants in Works of Art by Surface Enhanced Raman Scattering**, Leona, M.; Decuzzi, P.; Kubic, T.A.; Gates, G.; Lombardi, J.R., *Anal. Chem.*, **2011**, *83* (11), 3990–3993.

# Refining SERS Technique

## Microwave Silver NPs

GOAL: Produce and Characterize Reproducible/Stable Ag surface for SERS

### Historic Method:

Lee-Miesel Silver NPs ( $\text{AgNO}_3 + \text{citrate}$ )

broad absorption (FWHM > 120nm)

broad size distribution (3 - 50 nm)

not stable over time

Lee, P.C.; Meisel, D., *J. Phys. Chem.*, **1982**, *86*, 3391-3395.

### Our Method:

Microwave Reduction ( $\text{Ag}_2\text{SO}_4 + \text{glucose} + \text{citrate}$ )

narrow absorption (FWHM ~ 50 nm)

narrow size distribution (3 - 10 nm)

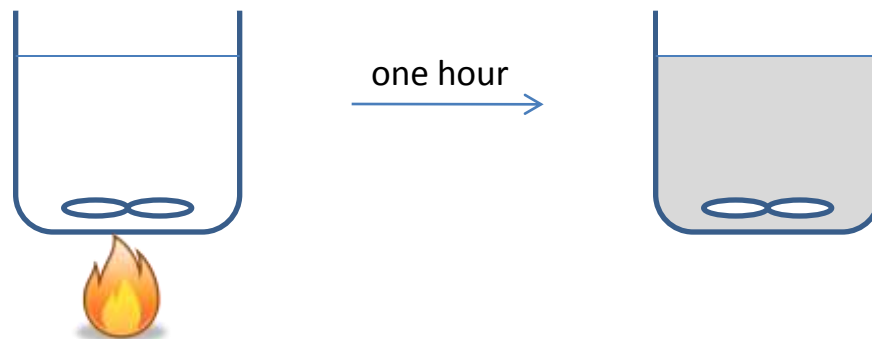
stable over 5 - 12 months

reproducible SERS spectra over time

Leona, M., *PNAS*, **2009**, *106* (35), 14757-14762

$\text{AgNO}_3 \text{ (aq)} + \text{citrate} \rightarrow \text{Ag}^0 \rightarrow \text{Ag Nanoparticles (NP)}$

oxidizing agent      reducing agent



$\text{Ag}_2\text{SO}_4 \text{ (aq)} + \text{sugar (+ citrate)} \rightarrow \text{Ag}^0 \rightarrow \text{Ag NP}$

oxidizing agent      reducing agent



# Microwave Silver NPs

## Historic Method:

Lee-Miesel Silver NPs ( $\text{AgNO}_3$  + citrate)

broad absorption (FWHM > 120nm)

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## Our Method:

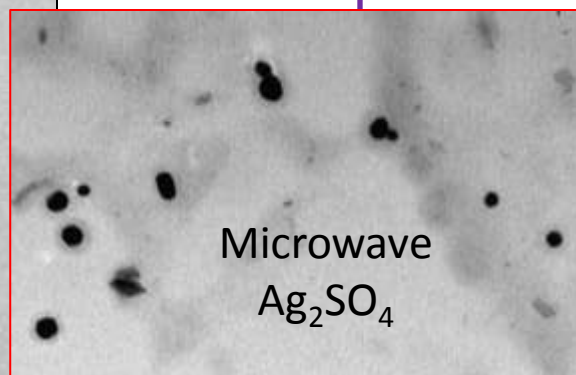
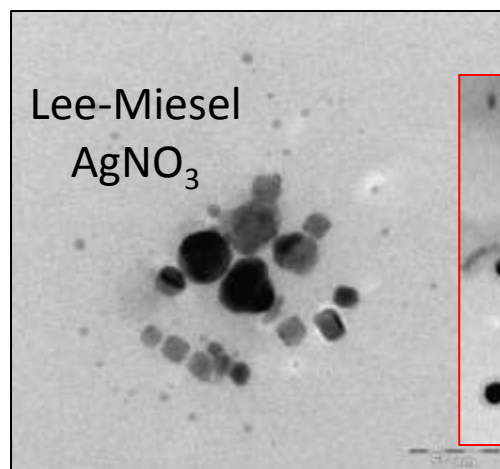
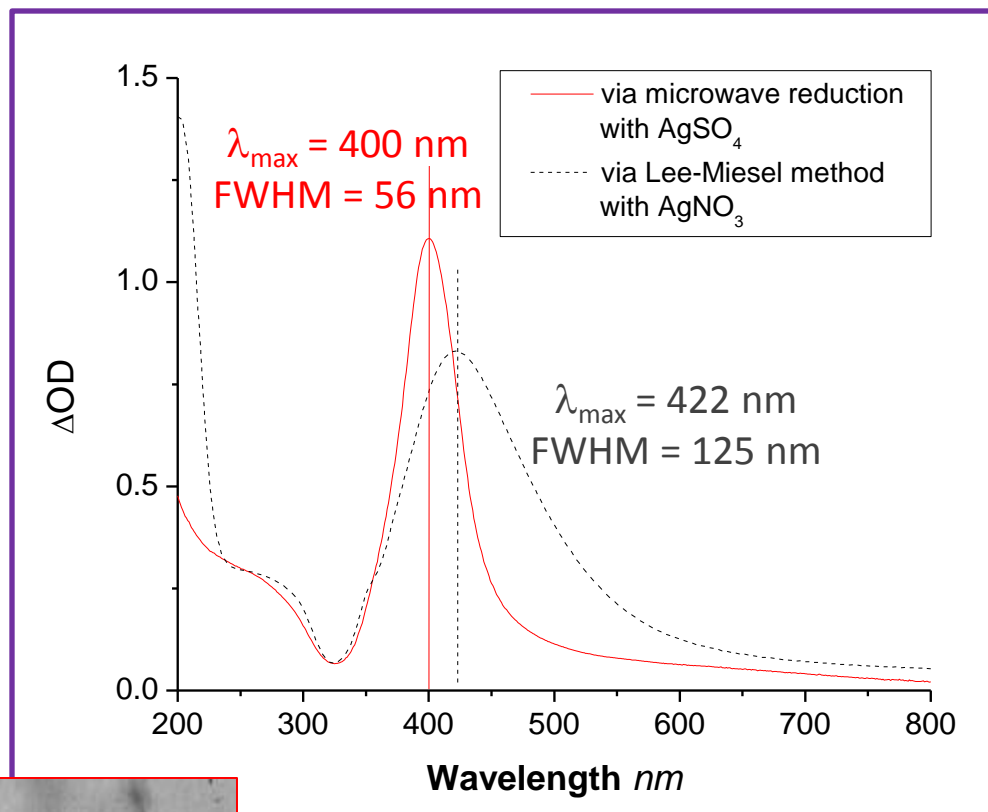
Microwave Reduction ( $\text{Ag}_2\text{SO}_4$  + glucose)

narrow absorption (FWHM  $\sim$  50 nm)

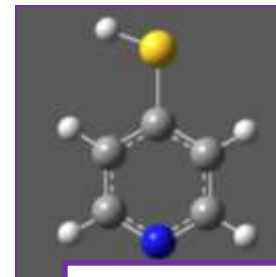
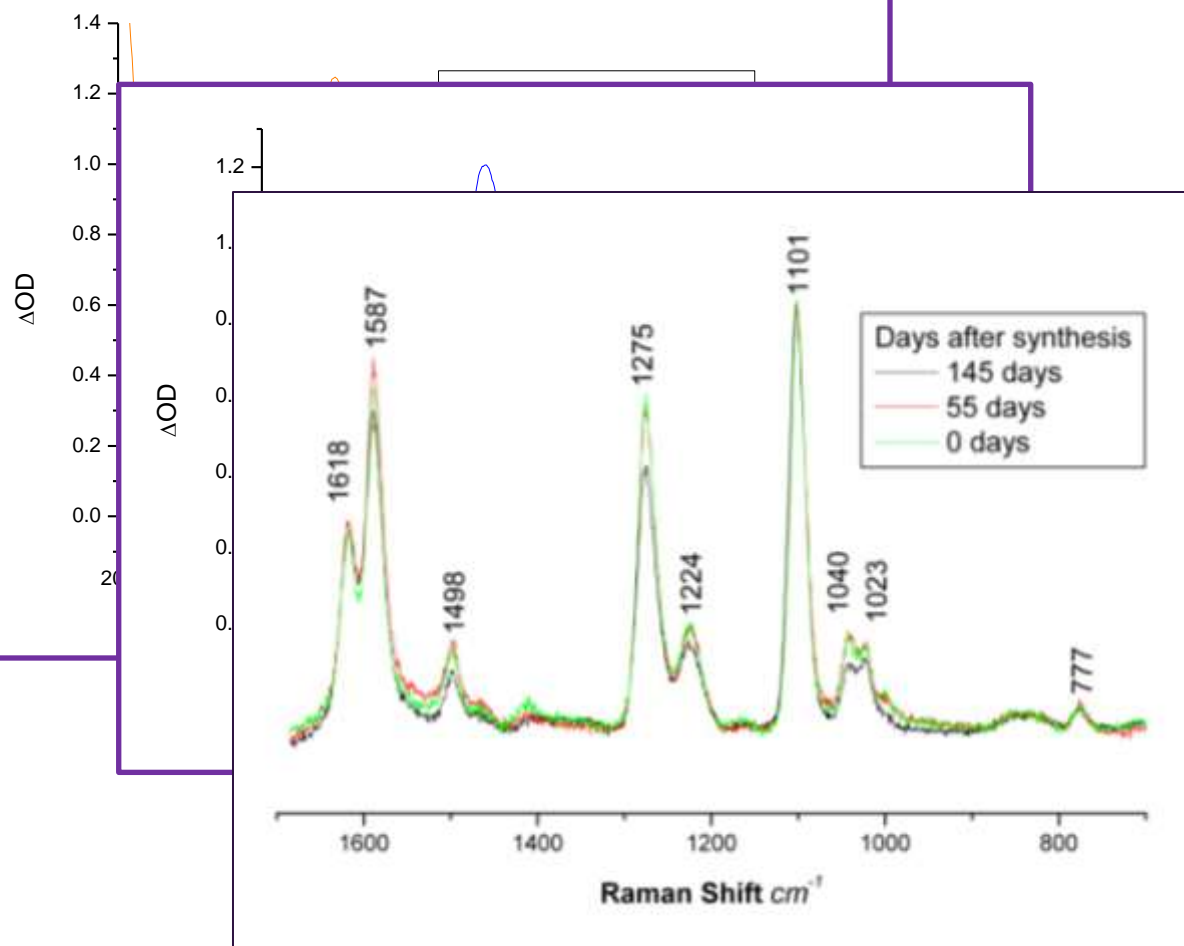
narrow size distribution (3 - 10 nm)

stable over 5 - 12 months

reproducible SERS spectra over time



# Microwave Silver



**SERS Model System:**  
*4-mercaptopyridine*

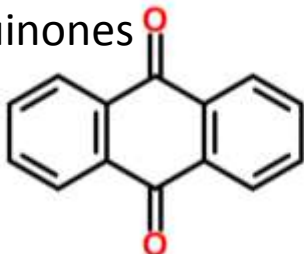


# SERS of Various Dyes Classes

## Dye Class

## Publication

### Anthraquinones



#### Surface-enhanced Raman Spectroscopy study of the red dye laccaic acid

Cañamares, M.V., et al., *J. Raman Spectrosc.*, **2007**, 38, 1259-1266.

#### Surface Enhanced Raman Spectroscopy of Indanthrone and Flavanthrone

Chang, J.; et al., *J. Raman Spectrosc.*, **2009**, 40, 1557-1563.

#### Raman and Surface Enhanced Raman Spectra of Flavone and Several Hydroxy-Derivatives

Teslova, T.; et al., *J. Raman Spectroscopy*, **2007**, 38, 802-818.

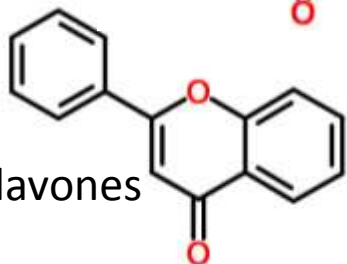
#### Raman and Surface Enhanced Raman Spectra of Chrysin, Apigenin and Luteolin

Corredor, C.; et al., *Vibrational Spectroscopy*, **2009**, 49, 190-195.

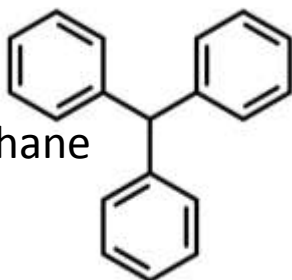
#### Raman and Surface Enhanced Raman Spectra of 7 and 3',4' Hydroxyflavone

Cañamares, M.V.; et al., *Journal of ePreservationScience* (Proceedings of the IRUG-8, Vienna Austria, Conference), **2009**, 6, 81-88.

### Flavones



### Arylmethane

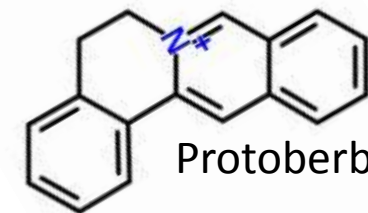


#### DFT, SERS, and Single Molecule-SERS of Crystal Violet

Cañamares, M.V.; et al., *J. Phys. Chem. C*, **2008**, 112, 20295-20300.

#### Application of Raman spectroscopy and surface-enhanced Raman scattering to the analysis of synthetic dyes found in ballpoint pen ink

Geiman, I.; et al., *J. Forensic Sci.*, **2009**, 54, 947-952.



### Protoberberines

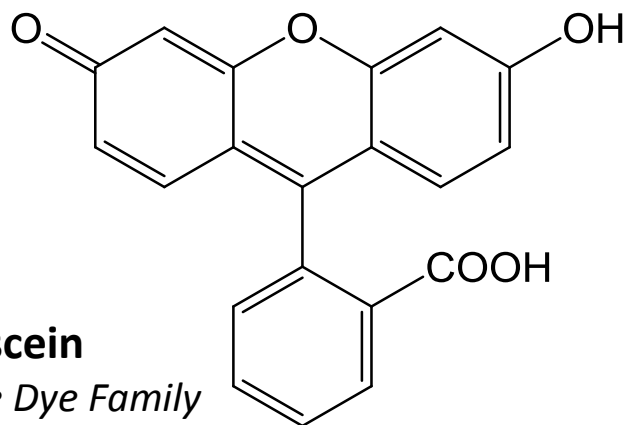
#### Surface-enhanced Raman scattering of protoberberine alkaloids

Cañamares, M.V.; et al., *J. Raman Spectrosc.*, **2008**, 39, 1907-1914.

# Art History Application

GOAL: Characterize SERS spectrum of Halogenated Xanthene Dyes

- Want to Identify Dyes in Art
  - Art Conservation
  - Art History
  - Archeology
- large number of dye classes
  - we have investigated 10 dyes classes (75 dyes total)
- Van Gogh was known to use Xanthene Dyes

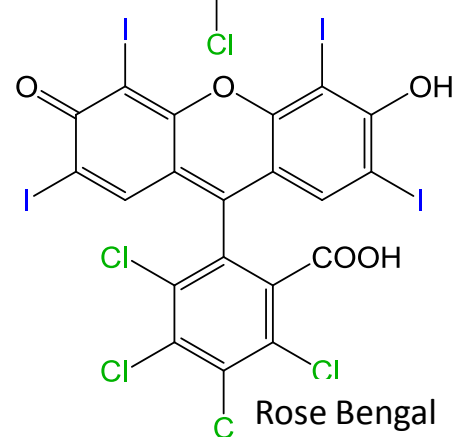
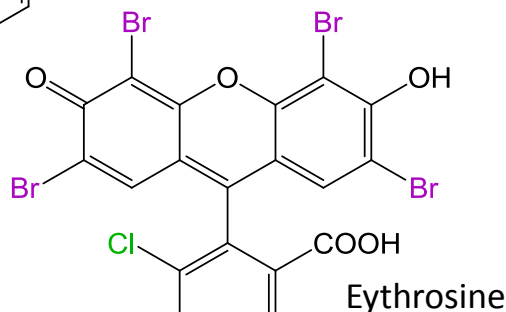
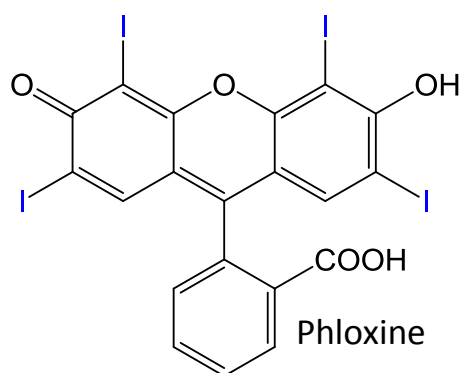
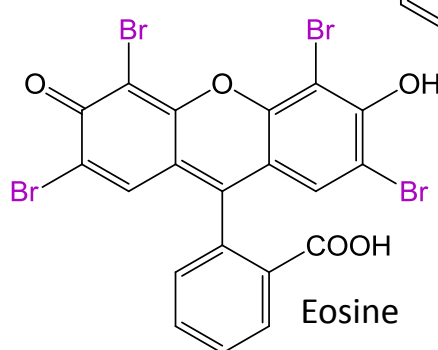
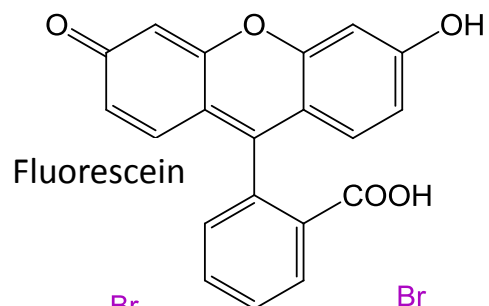


**Flourescein**

*From Xanthene Dye Family*



# Xanthene Dyes: A Spectroscopic Study

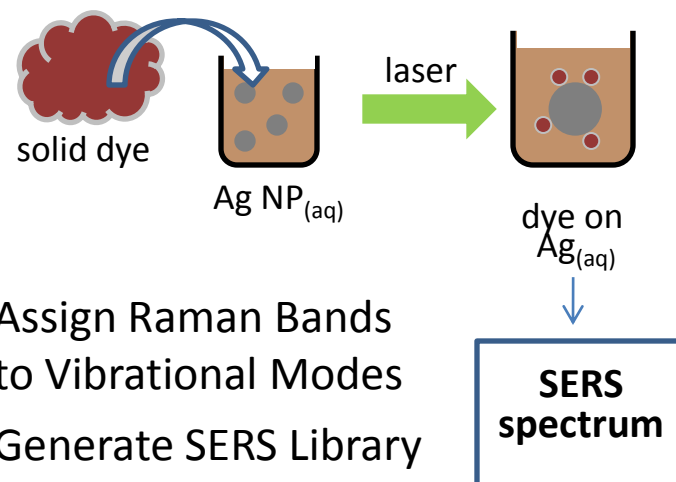


## Research Strategy:

- Acquire normal Raman spectrum of each dye



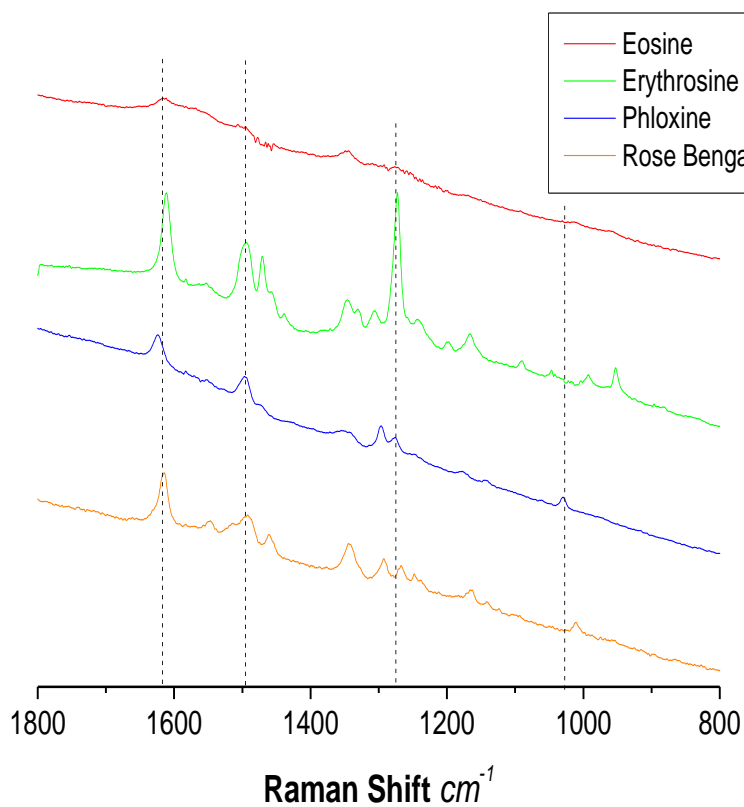
- Acquire SERS spectrum of each dye



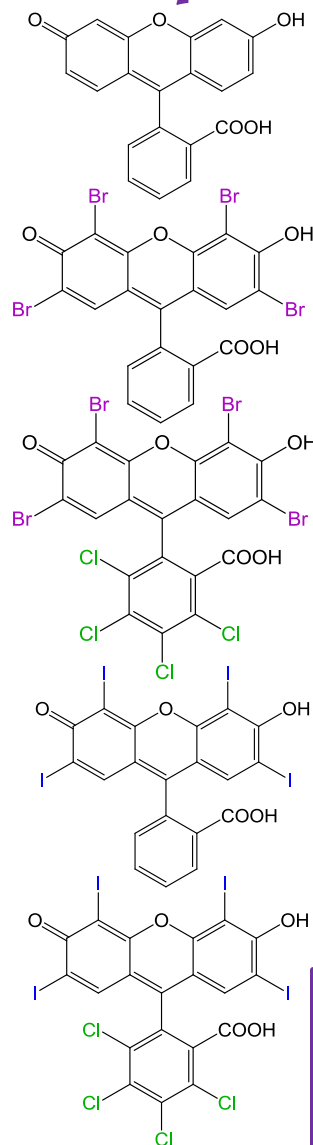
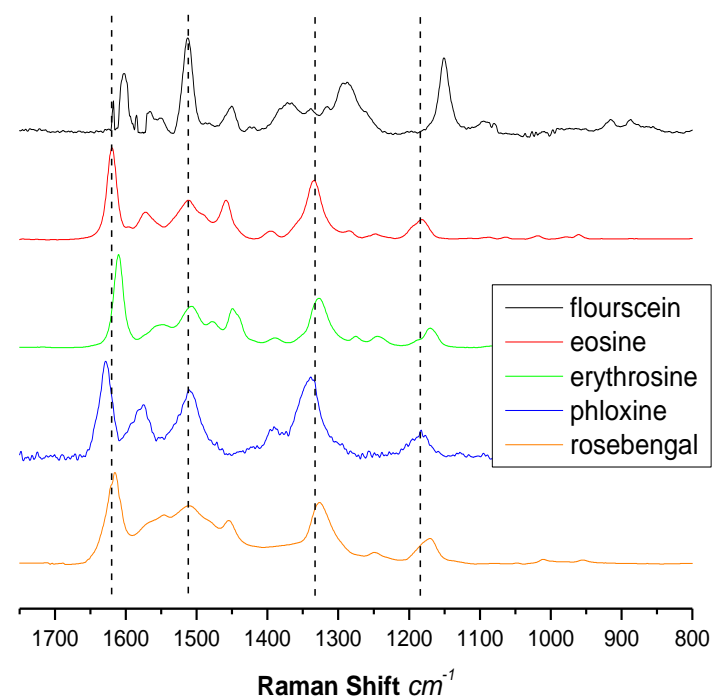
- Assign Raman Bands to Vibrational Modes
- Generate SERS Library

# Xanthene Dyes: A Spectroscopic Study

## Normal Raman spectra



## SERS spectra



- Spectra varies upon Halogenation
- Should be able to differentiate in mixtures

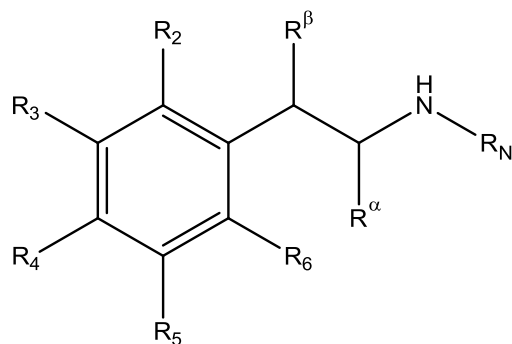
# Surface-Enhanced Raman Scattering of Phenethylamines

## Previous SERS work on Controlled Substances:

“Surface-Enhanced Raman Spectroscopy for Trace Identification of Controlled Substances: Morphine, Codeine, and Hydrocodone”

Rana, V.; Cañamares, M.V.; Kubic, T.; Leona, M.; Lombardi, J.R.; *Journal of Forensic Sciences*, **2010**, 55(1), 200-207.

## Over 175 different Phenethylamines



Phenethylamine

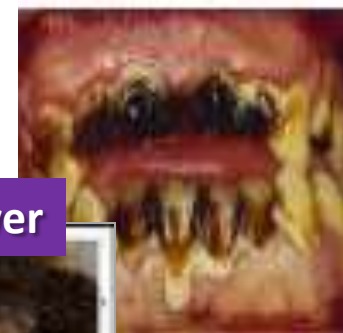


Ecstasy

wide variety of therapeutic classes, including but not limited to..

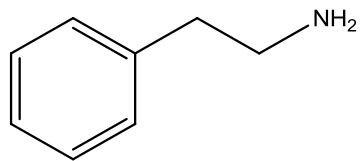
- appetite depressants
- vasoconstrictors
- psychotropic drugs
- bronchodilators
- antidepressants
- Antiparkinson agents
- neurotransmitters

## The METH makeover

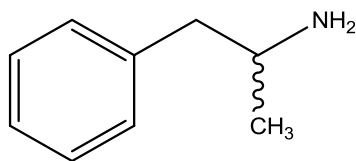


# SERS of Phenethylamines

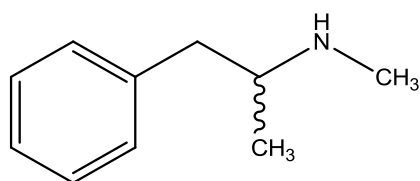
GOAL: Acquire SERS of Amphetamines for trace analysis



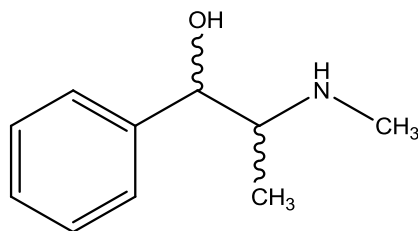
Phenethylamine



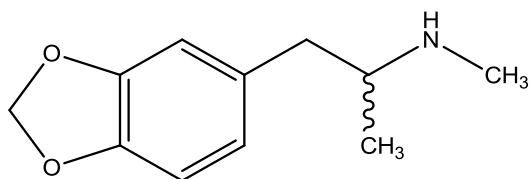
Amphetamine



Methamphetamine



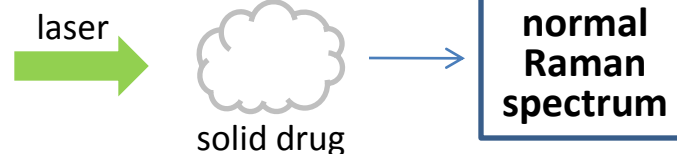
Ephedrine



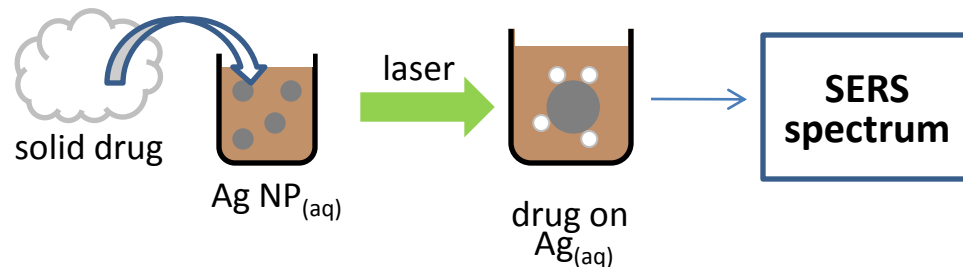
MDMA (*Ecstasy*)

## Research Strategy:

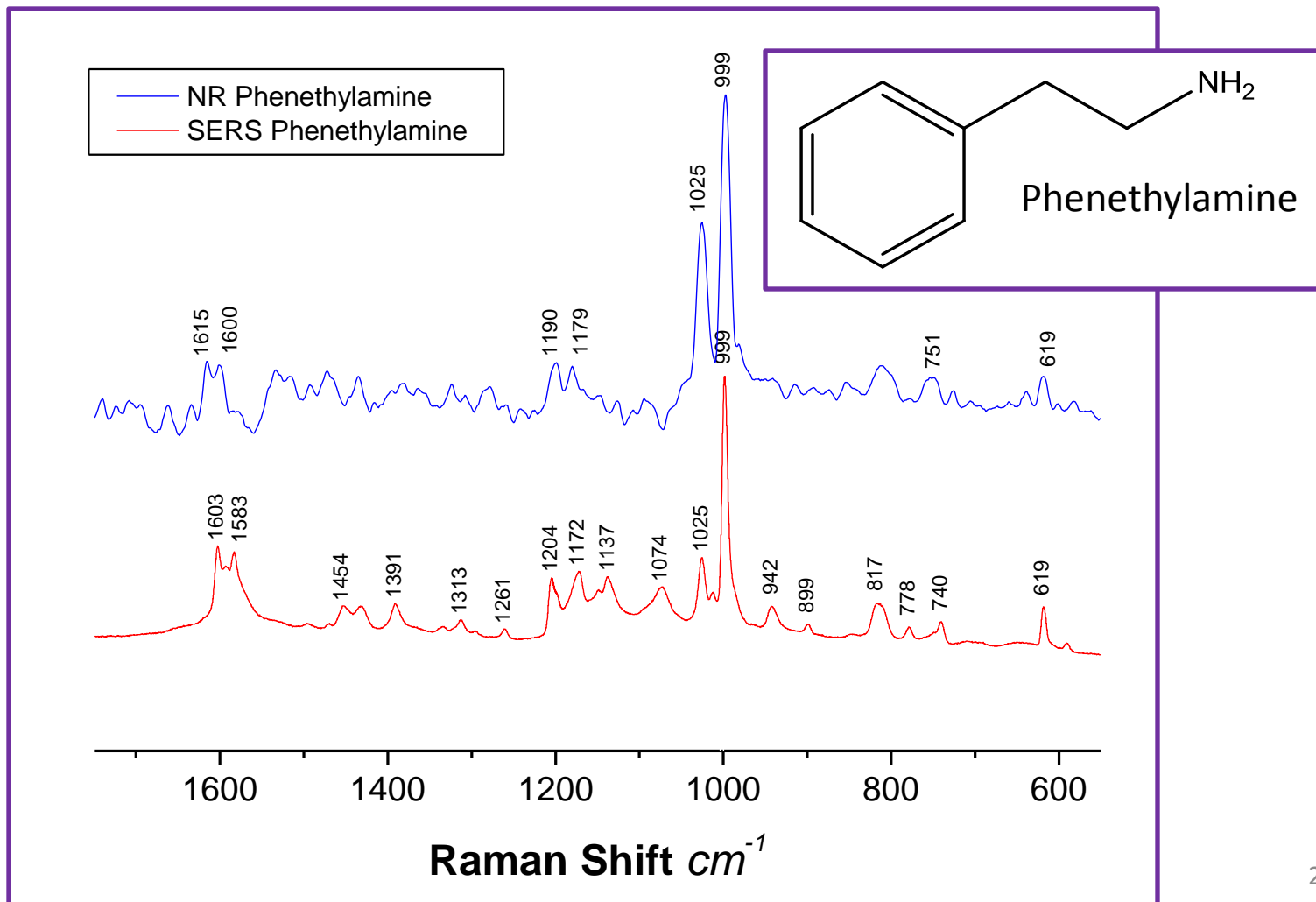
- Acquire normal Raman spectrum of Phenethylamines



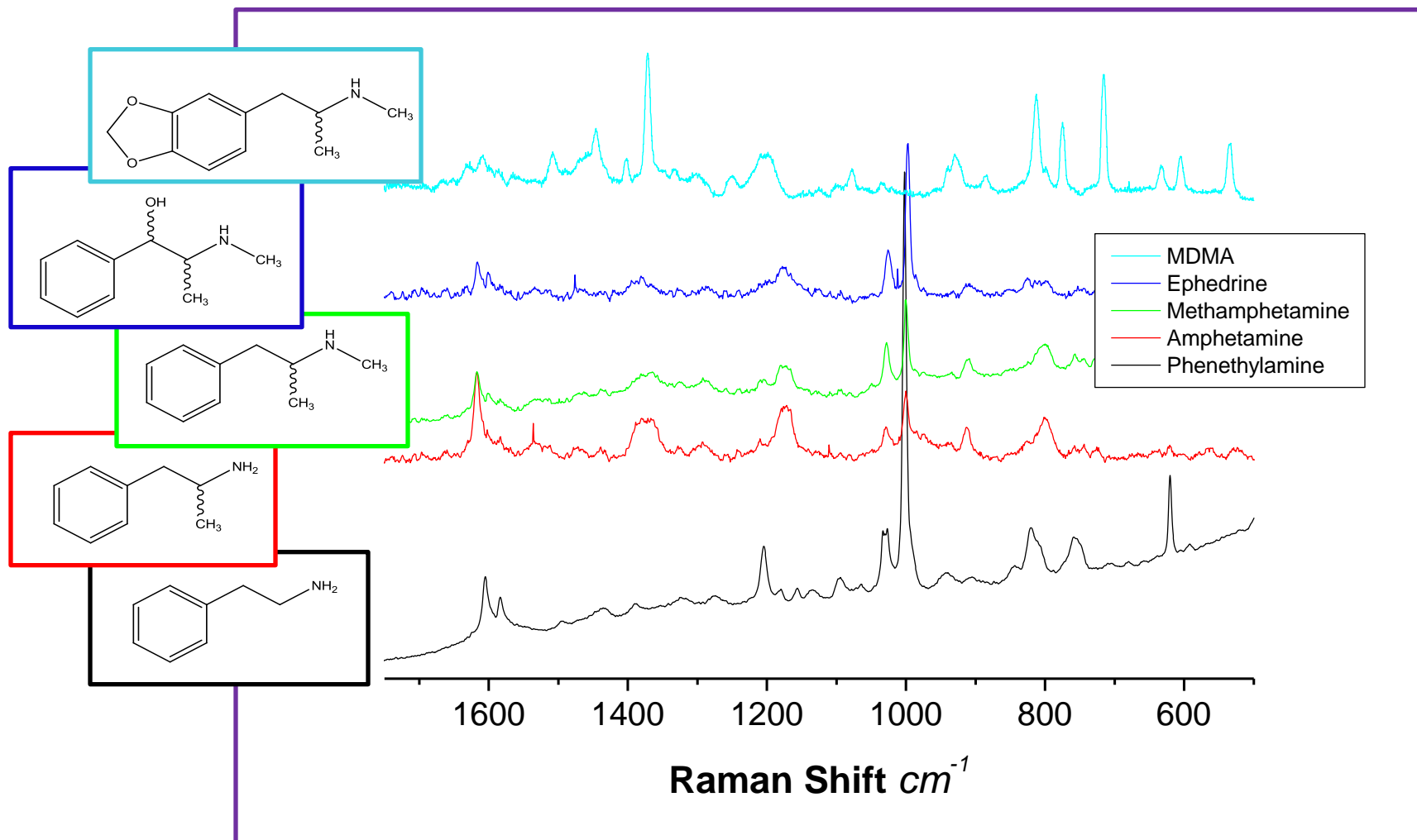
- Acquire SERS spectrum of Phenethylamines, refining experimental conditions



# Normal Raman and SERS of Phenethylamine



# SERS of Phenethylamine



24

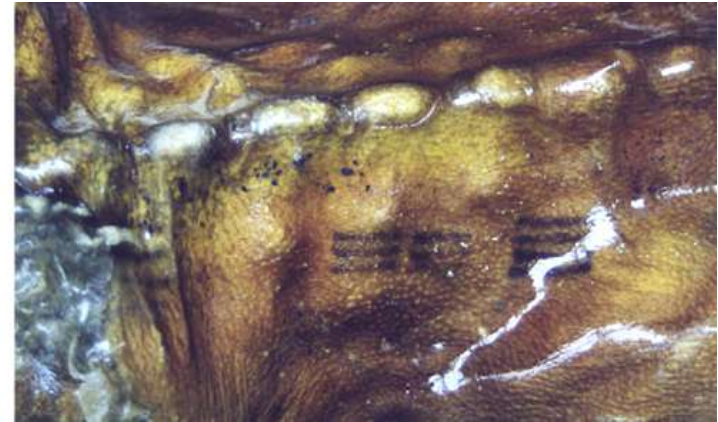
## Surface-Enhanced Raman Scattering of MDMA (Ecstasy) and Analogs

Taplin, F.; O'Donnell, D.; Kubic, T.; Leona, M.; Lombardi, J.R., *Forensic Science International* - submitted



# Raman Scattering of Tattoo Inks

## Anthropological Implications



Pulled from the Headlines....

## Man Arrested for Tattooing Toddler

### Parents Arrested for Home-Tattooing 6 Children

KTLA News  
11:24 AM PST, January 4, 2010

Dad Charged With Tattooing 3-Year-Old Son

Man tattoos gang symbol on 7 y.o. son

Police Dept. will pay to have tattoo removed

*Worst tattoo ever? Amateur pranks friend by giving him obscene ink instead of yin-yang he asked for*

NY DAILY NEWS

Tuesday, October 26th 2010, 4:28 PM

# Raman Scattering of Tattoo Inks

## GOAL: Acquire SERS of Tattoo Inks

- Most work on Tattoo inks in the past have used Absorption Spectroscopy, IR, XRD and SEM.
- Some work has been done using Dispersive Raman looking at carbonous materials in tattoos\*



Iron Works Brasil (10)- Brazil



Skin Candy (17)- USA

\* Poon, K.; I. Dadour, I.; McKinley, A., *J. of Raman Spectroscopy*, **2008**, 39, 1227-1237.

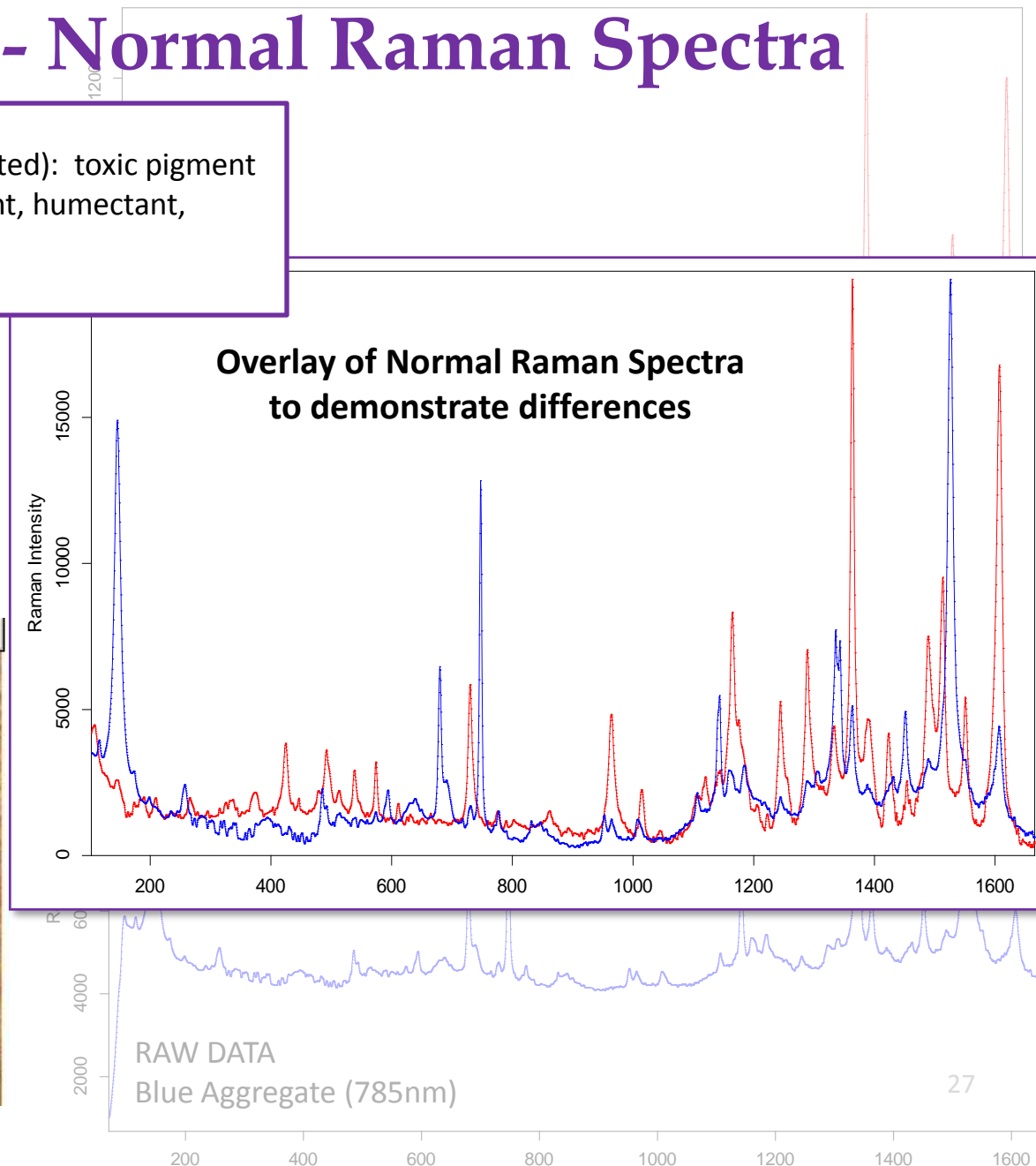
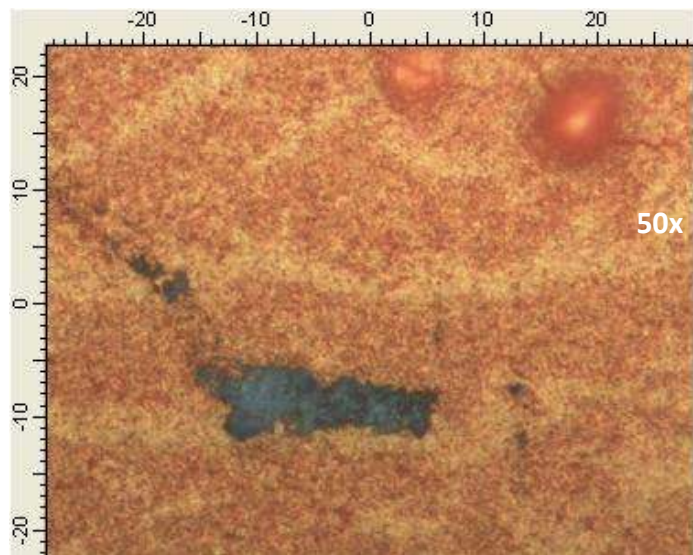
Poon, K.W.C., M.S./Ph.D. Thesis, The University of Western Australia, 2008.

# Tattoo Inks - Normal Raman Spectra

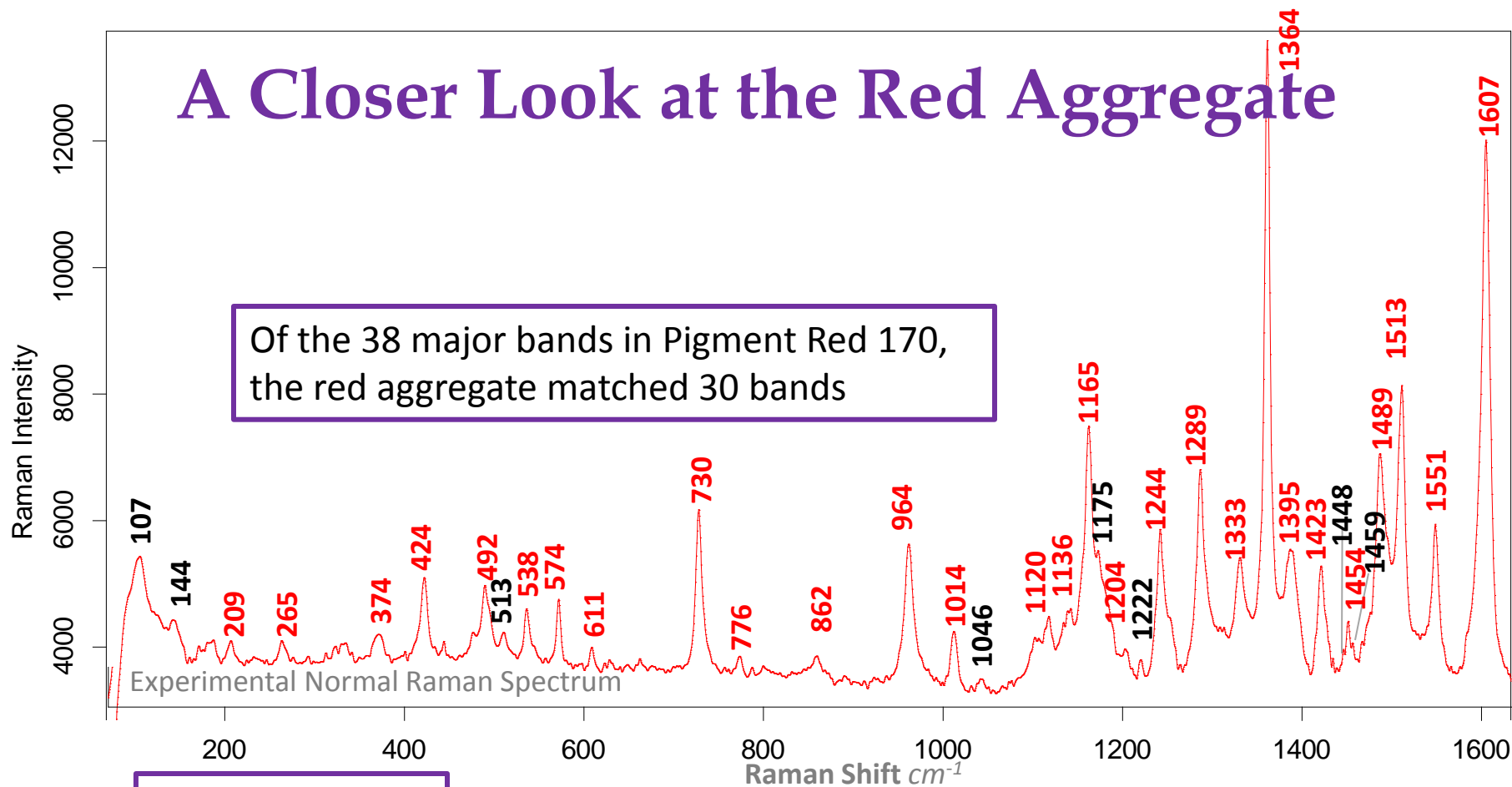
Vermelho-Iron Works Brasil

Ingredients (according to packaging; translated): toxic pigment (mineral/organic) deionized water, surfactant, humectant, preservative

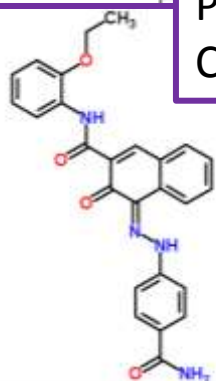
**NOTE: No color information provided**



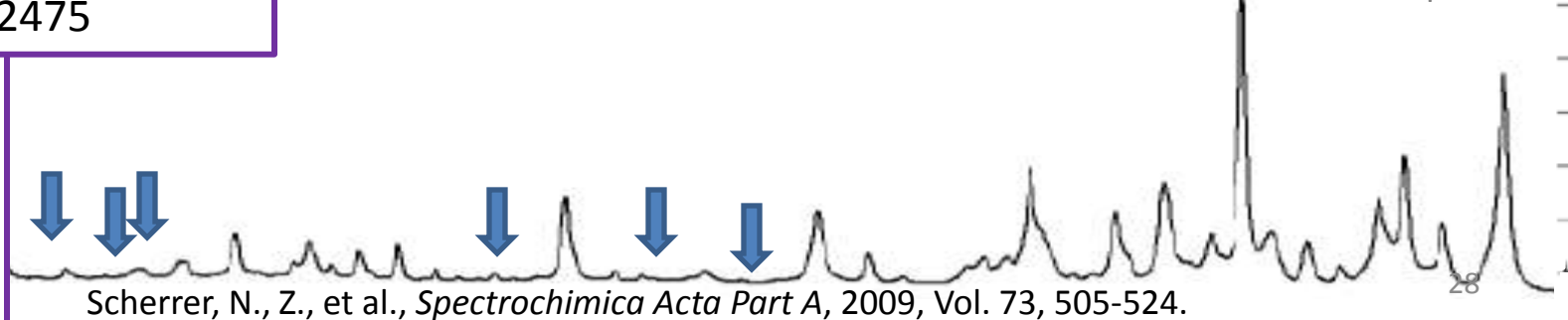
# A Closer Look at the Red Aggregate



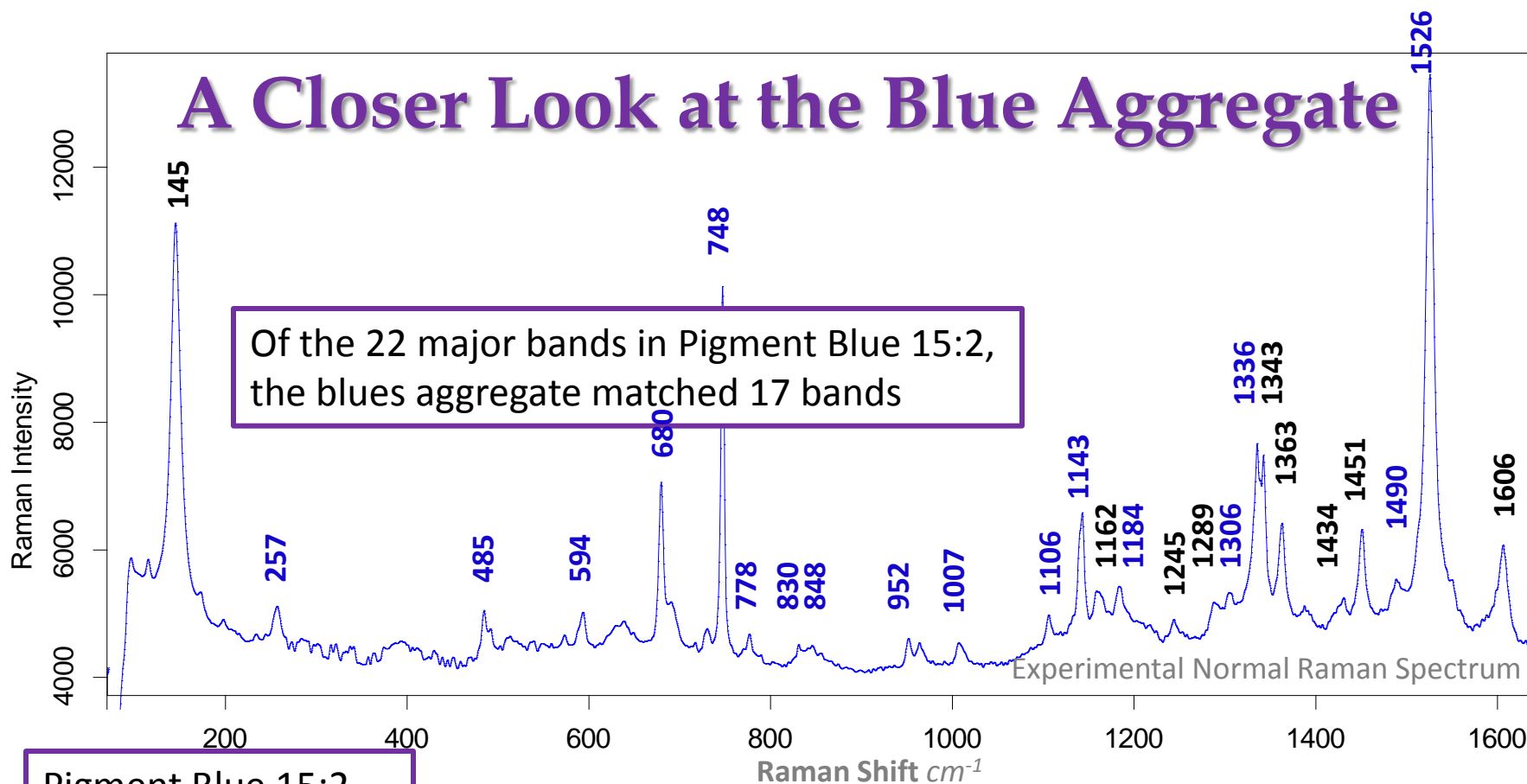
Pigment Red 170  
CI 12475



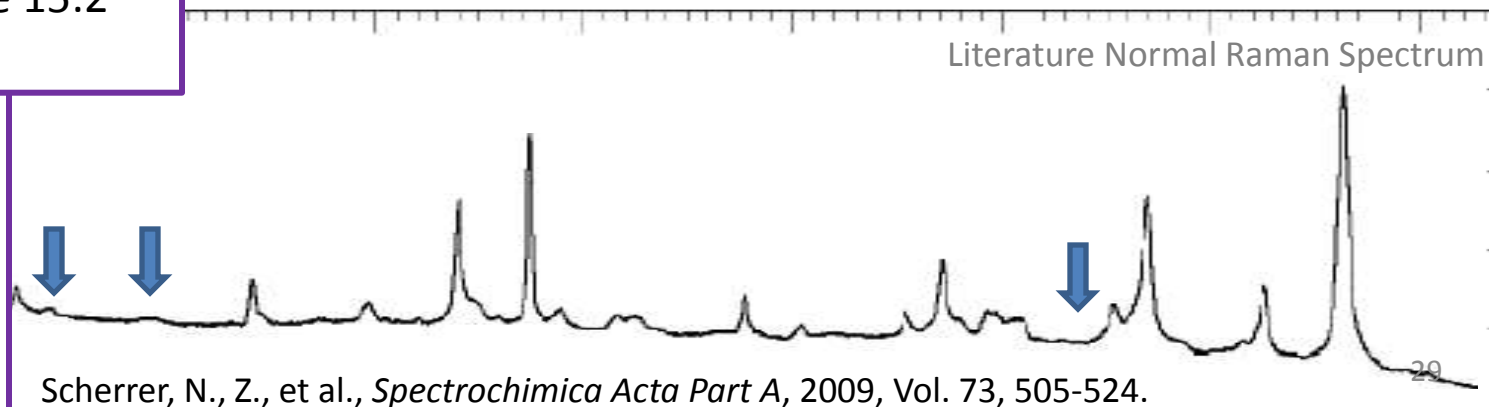
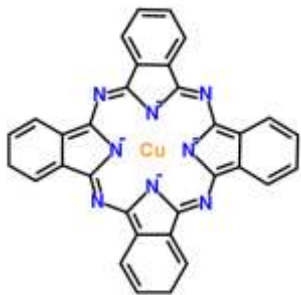
Literature Normal Raman Spectrum



# A Closer Look at the Blue Aggregate



Pigment Blue 15:2  
C.I 74160

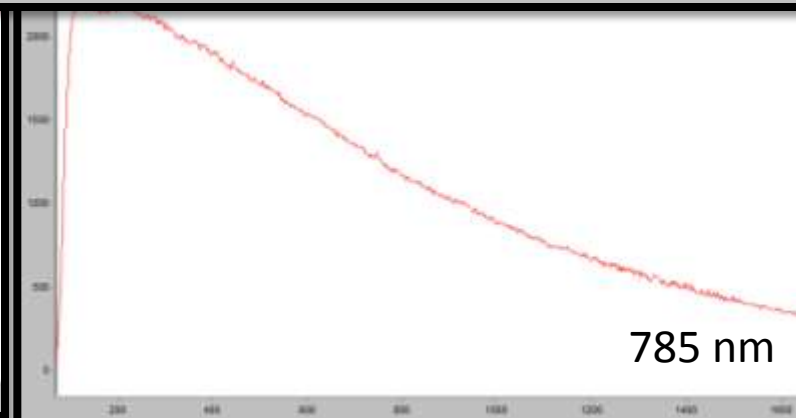
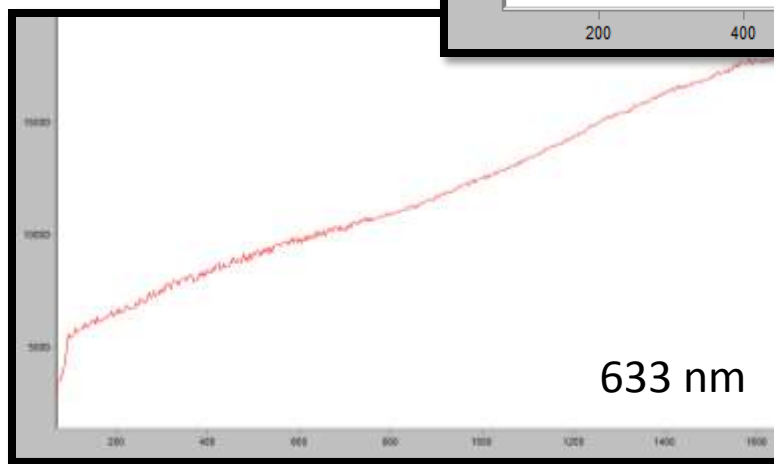
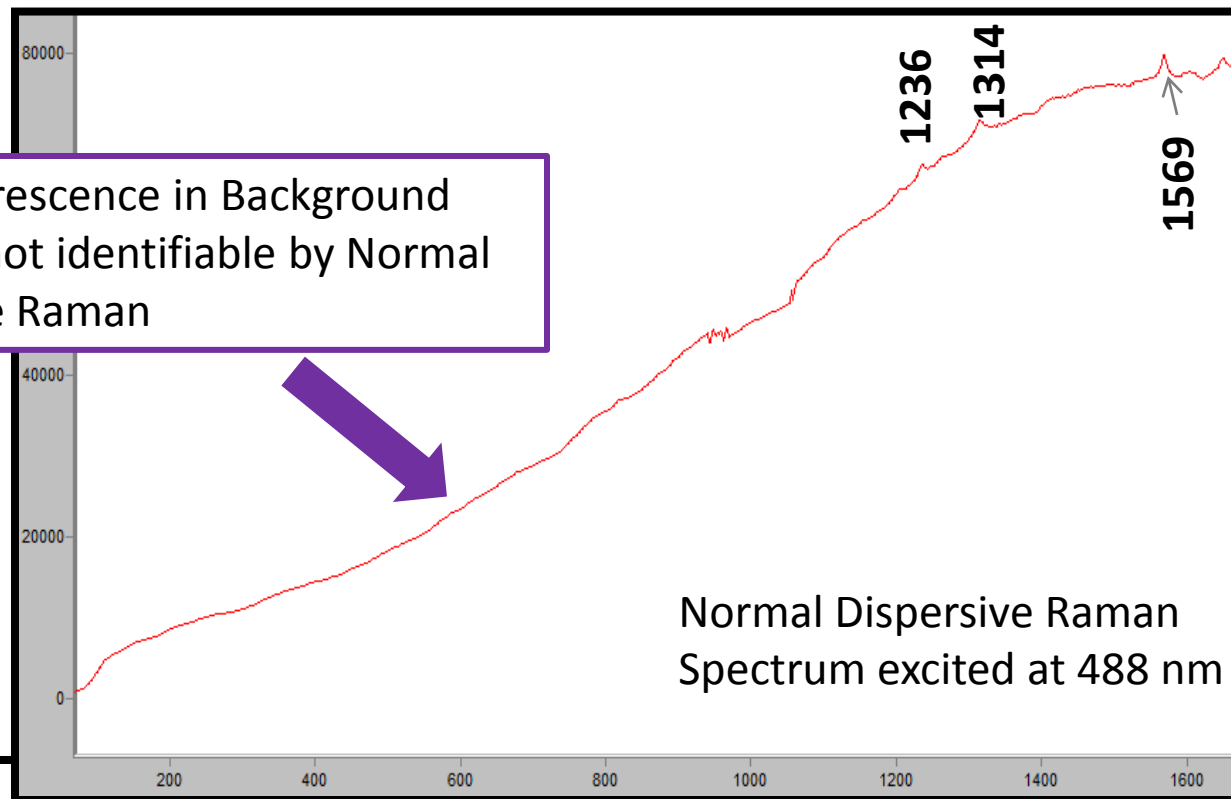


# SERS of Tattoo Inks



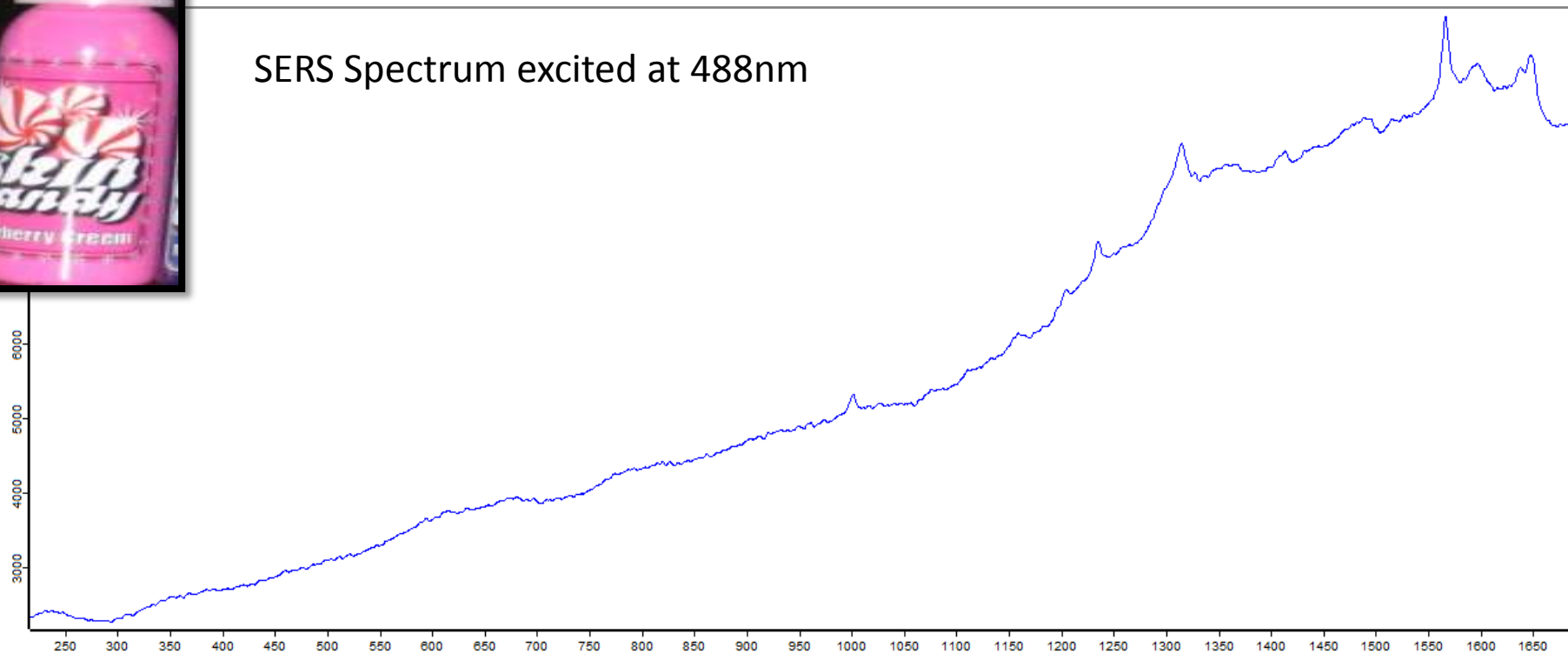
Raspberry Cream  
Skin Candy  
Pigment Red 122

- High Fluorescence in Background
- Pigment not identifiable by Normal Dispersive Raman

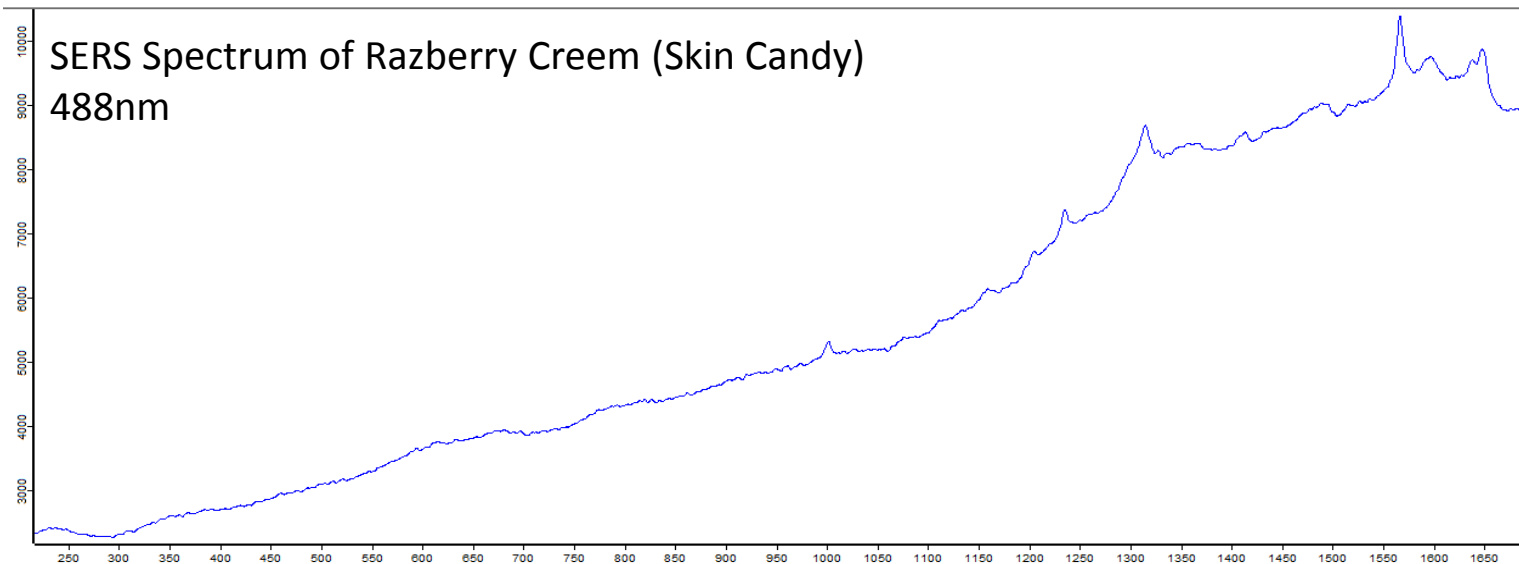


**Raspberry Creem**  
Skin Candy  
Pigment Red 122

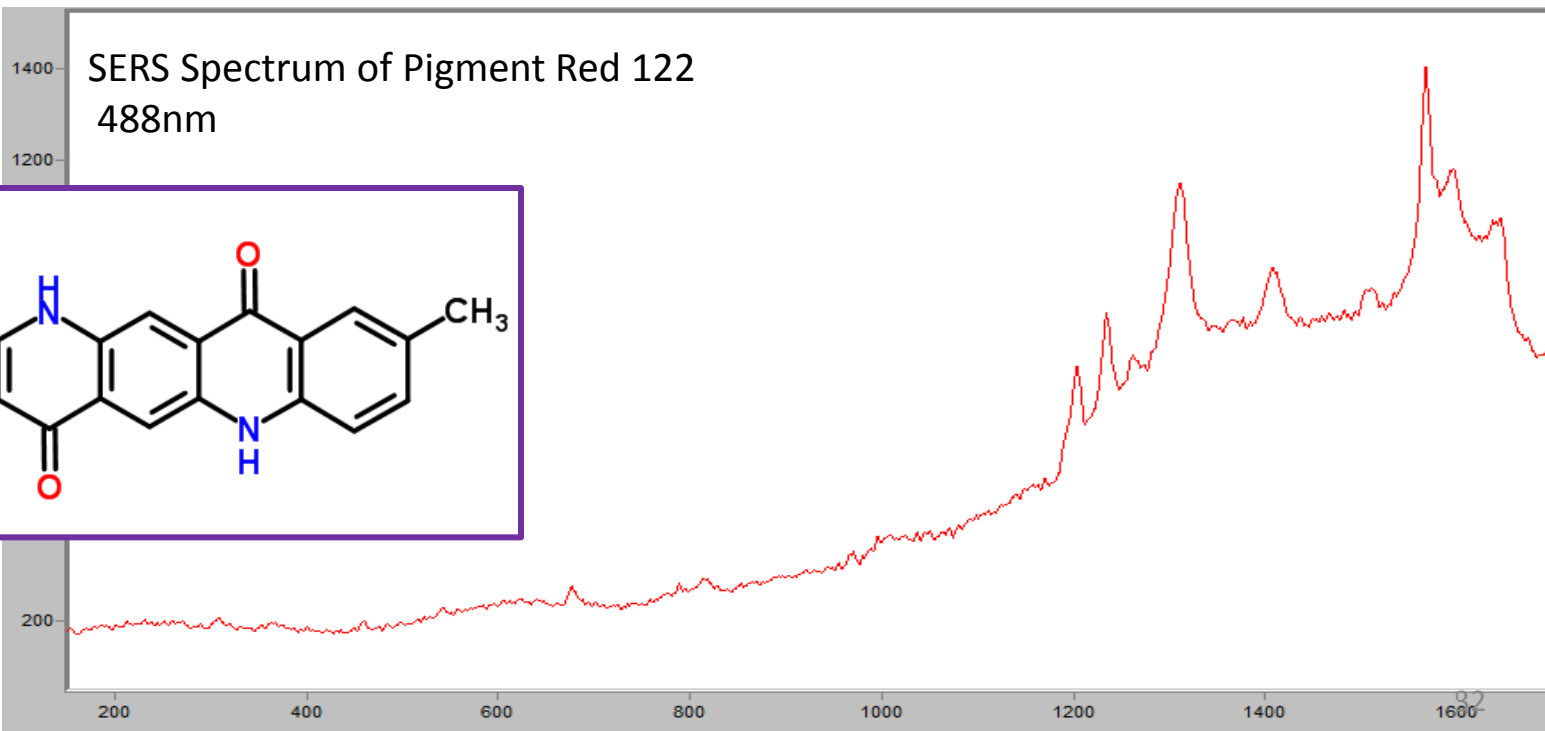
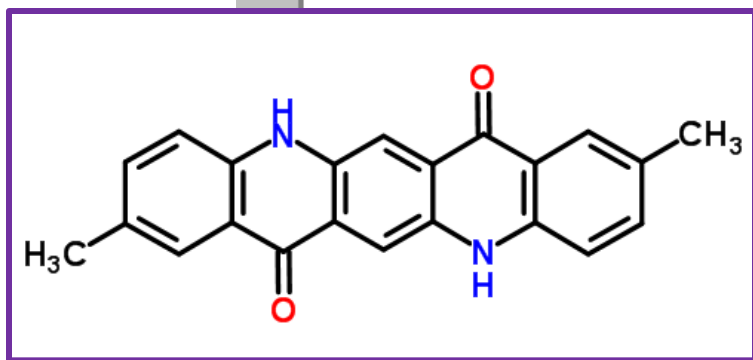
SERS Spectrum excited at 488nm



Note: Enhancement of three weak bands ( 1568, 1316 and 1238  $\text{cm}^{-1}$ ) and additional peaks resolved



SERS Spectrum of Pigment Red 122  
488nm





# Research Summary

## Developing Methods

### *Gel Extraction*

- Successfully extract and identify trace amounts
- Methodology is system specific

### *SERS substrate*

- Ag NP synthesis by microwave reduction yields reliable, reproducible surface
- Stable for at least 5 months

## Applications

### *Xanthene Dyes*

- Developing SERS Library
- Future Work: Mixtures

### *SERS + Phenethylamine*

- 5 Phenethylamines characterized

### *SERS of Tattoo Inks*

- Tattoo Inks are heterogeneous, present challenge
- Work is ongoing

## Other Areas of Research

### *TLC + SERS*

- SERS can improve detection limit beyond visible detection
- Separation and Identification of complex mixtures

### *SERS of Dye/Drug mixtures*

- Engineered Mixtures and Real Samples

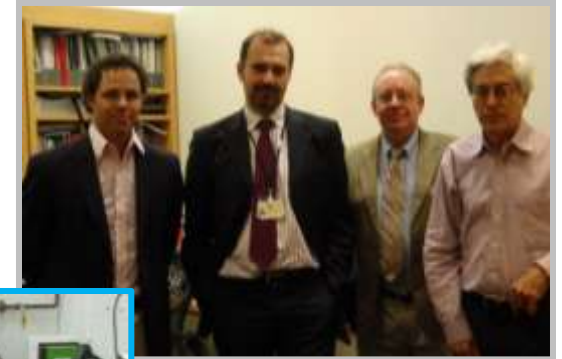
# Acknowledgments

Prof. John Lombardi – *research advisor (CCNY)*

Dr. Marco Leona – *research advisor (Metropolitan Museum of Art)*

Patrick Buzzini - *collaborator (West Virginia University)*

Phillip Antoci - *collaborator (NYPD Crime Labs)*



## Current Students

Dane Christie – *Undergraduate student (CCNY)*

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Francis Taplin – *Graduate student (John Jay)*

Peter Decuzzi – *Graduate student (John Jay)*

Michelle Miranda – *Graduate student (John Jay)*

Kathryn Luppino - *Undergraduate student (CCNY)*

Yi Pan – *Graduate student (CCNY)*



## Former Students

Dr. Richard Livingstone – *Graduate student*

Loes Vermeij – *Undergraduate student*

Elizabeth Sefton – *Undergraduate student, WVU*

Chara Themistokleous – *Undergraduate student*

Faiza Anwar – *Graduate student*

## Funding

Department of Justice (Award Number: **2009-DN-BX-K185**)

Center for Exploration of Nanostructures  
in Sensors and Energy Systems (CENSES)

