

How Basic Population Genetics Informs on Ancestry and Phenotype

Components of the Human Genome

- Mitochondrial DNA (mtDNA): 16,569 bp
- Nuclear DNA: 3.3 billion bp
 - Autosomes: 22 chromosome pairs
 - Sex chromosomes: 1 pair of unmatched chromosomes
 - X chromosome
 - Y chromosome (male determining)
 - NRY: non-recombining part of the Y
 - Pseudoautosomal DNA : homologous to and recombines with the X, 2.6Mb

The Concept of Polymorphism

A polymorphism is a site or locus in the genome that exists in "many forms" in the population. Usually, the most common allele must be less frequent than 99%.

Note, it is the site that is the polymorphism, not one allele at the site. Also, note that the term is often used, incorrectly, for ANY variation, even the single instance of a varying DNA sequence, as is the case for many so called SNPs (Single Nucleotide Polymorphisms), without knowing the frequency of the variant. Many sites with such instances of a single instance of a variant have been tested on many individuals and no variants found. This has given rise to the absurdity of a monomorphic SNP.

A rare variant is NOT a polymorphism.



The Types of DNA Sequence Polymorphisms

- RFLP: Restriction Fragment Length Polymorphism*
- STRP: Short Tandem Repeat Polymorphism
- VNTR: Variable Number of Tandem Repeats
- InDel: Insertion/Deletion
- SNP: Single Nucleotide Polymorphism
- CNV: Copy Number Variation/Variant

Human mtDNA

The mitochondrial DNA is almost all functional. It codes for several proteins that are involved in the energy generating aspect of metabolism. It also codes for the transfer RNAs that are used to translate that code into proteins. The control region (also called the D-loop) is highly variable in sequence. This circular DNA molecule is inherited only through females and exists in thousands of copies in each cell.



http://www.nfstc.org/pdi/Subject09/pdi_s09_m02_01_a.htm

Types of Variation in mtDNA

- The control region segments HVI and HVII that show many different sequences
- Individual nucleotide differences in the coding regions of the molecule

Globally there are many different mtDNA types, but within any single population there are not a large number of different types.



Gene Lineages: mtDNA

With respect to ancestry of an individual, mtDNA variation provides information on the maternal lineage. This is one ancestor out of 32 ancestors just 5 generations ago.



Types of Variation in the Non-Recombining Y Chromosome DNA (NRY)

- Many STRPs occur within the non-recombining regions of the chromosome
- Individual nucleotide differences (SNPs) occur in the coding and non-coding regions of the chromosome

Globally there are many different NRY types, but within any single population there are not a large number of different types based on SNPs. However, within each of the haplogroups, there can be many STRP variants because of their higher mutation rate.



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Gene Lineages: Autosomal



Each chromosome has a different genealogy or ancestral origin. With recombination different segments of each chromosome have a different genealogy. What are the origins of the four chromosomes (&, @, #, and *) in the two siblings at the bottom?

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Only allele * has an unambiguous ancestral origin. Tracing identity by descent breaks down when an ancestor is homozygous for the allele. **Transition Workshop**

Allele Frequency Variation Among Populations is Measured Using F_{st}

F_{st} is a standardized variance of allele frequencies among populations. In theory it is related to random genetic drift with variance accumulating as a function of time in generations divided by twice the effective population size. For our purposes it is simplest to consider it one possible measure of allele frequency variation among populations.











- Identify a criminal ← - - -
- Identify human remains
- Exonerate innocent people <----



DNA is Used in Forensics to:

Identify a criminal

- Identify human remains
 - Match to a known DNA profile
 - Determine phenotypic characteristics of the unknown
 - Determine ancestry (ethnic/geographic origins) of the unknown



Questions in Matching to a Known Person

- What are the DNA patterns?
- Are the patterns the same? (Do they match?)
- What is the chance of two unrelated people having that pattern? (How unique is that DNA pattern?)

Those questions are best answered by an individual identification panel with extensive population data documenting rarity of all genotypes. The CODIS markers or a panel of IISNPs would be appropriate.



Types of Panels of SNPs for Forensic Applications

Individual Identification SNPs (IISNPs): SNPs that collectively give very low probabilities of two individuals having the same multisite genotype.

Ancestry Informative SNPs (AISNPs): SNPs that collectively give a high probability of an individual's ancestry being from one part of the world or being derived from two or more areas of the world.

Lineage Informative SNPs (LISNPs): Sets of tightly linked SNPs that function as multiallelic markers that can serve to identify relatives with higher probabilities than simple di-allelic SNPs.

Phenotype Informative SNPs (PISNPs): SNPs that provide high probability that the individual has particular phenotypes, such as a particular skin color, hair color, eye color, etc.

Reproduced in Butler et al. 2008. Progress in Forensic Genetics 12



Different Criteria for Different Panels

- SNPs that meet population genetics criteria for the first three types of panels are a very small fraction of the millions of available SNPs
- Finding candidate SNPs requires an initial population genetics approach and adequate population resources
- Phenotype informative SNPs are also uncommon; many candidates are as yet poorly documented functionally and population-specific association appears to occur



General Criteria for Use of a SNP in Forensics

- 1. An easily and reliably typable unique locus
- 2. Highly informative for the stated purpose
- 3. Well documented relevant characteristics such as allele frequencies



What SNPs Will Be Best?

- 1. Those SNPs that will provide the maximum amount of information per SNP. What do we mean by information?
- 2. SNPs that are not subject to typing difficulties. What kind of "typing difficulties" can exist?





The Out-of-Africa Theory

The overall pattern of modern human variation is explained by the Out-of-Africa theory.

Modern humans evolved in Africa about 200,000 years ago. Considerable genetic variation accumulated.

About 100,000 years ago (possibly as recently as 80,000 years ago) SOME individuals left Africa into Southwest Asia.

That SINGLE population had only a SMALL fraction of the genetic variation present in Africa. That population expanded to occupy the rest of the world.



Signs of Recent Selection

A significantly higher F_{st} than the average suggests selection may have operated in one region of the world.

One common haplotype extending a much longer molecular distance than others indicates that it rapidly increased in frequency recently. This suggests that selection may have been the cause. (What might be another cause?)

Other indicators of selection are more theoretically based and involve less obvious assumptions, e.g., allele frequency distributions at a multi-allelic locus.



Genes Showing Evidence of Recent Selection

Many loci have been hypothesized to show evidence of selection but relatively few show strong evidence. The loci associated with resistance to malaria are among the best known: alpha and beta hemoglobin variants, G6PD variants, and the Duffy blood group. Others clearly have undergone selection but the nature of the selective factor is not clear. These include lactase (LCT) and alcohol dehydrogenase (ADH1B). The 900 kb inversion polymorphism on chromosome 17 has also been hypothesized to show evidence of selection. Many candidates are being studied and reported in the literature.



46 Population Samples Studied Routinely in Kidd Lab





A Haplotypebased Tree with High Bootstrap Support

A redrawn version of this tree is being published in Kidd et al., *Amer. J. Phys. Anthro.* 2011, in press.



PCA of the 506 Haplotype Dataset





Species-wide vs. Specific-population Comparisons



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Conclusions on the Overall Pattern of Genetic Diversity and the Causes

- The expectation is that allele frequencies will be different in different populations
- The basic pattern of overall genetic diversity is primarily the result of random chance (genetic drift) in conjunction with the history of the spread of modern humans around the world
- However, there is evidence of natural selection affecting specific genes differentially in different parts of the world



Variation in function can be, usually is, normal. This is the variation that makes every human unique.

Many genes have common variation that gives, for example, different enzyme activities. Some of that variation is associated with different levels of risk for different disorders. However, a common allele that gives slightly increased risk for a disorder, say hypertension, is not abnormal. Nor is it necessarily selected for or against.





Wet vs. Dry Earwax Allele Frequency



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ADH1B Variant Frequencies







Allele Frequency of ADH1B*47His, Updated, March 2008



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Distribution of the ALDH2*504Lys Allele

The *ALDH2*504Lys* allele is a "dominant negative": it disrupts the heteroduplex enzyme greatly reducing activity in heterozygotes and has no enzyme activity when homozygous.



Question: Can DNA Tell What Someone Looks Like?

Some SNPs are clearly related to appearance. In some cases the basic biology is understood. In most cases the biology is known to be relevant but the exact ways in which the different alleles alter the appearance are not fully understood.



Four "Skin Color" and "Eye Color " Genes

Golden (SLC24A5), MATP (SLC45A2), OCA2, and MC1R are genes that are expressed in skin and affect the processing of melanin and melanin granules. In other organisms individuals homozygous for non-functional forms of SLC24A5 have lighter pigmentation, but in humans the variant form gives only slightly lighter pigmentation.

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PopGen Simulation: Selection for "A" Allele in Green



UCSC Genome Browser http:genome.ucsc.edu

UCSC Genome Bioinformatics

Genomes -	Blat - Tables - Gene Sorter - PCR - VisiGene - Proteome - Session - FAQ - Help						
Genome	About the UCSC Genome Bioinformatics Site						
	 Welcome to the UCSC Genome Browser website. This site contains the reference sequence and working draft assemblies for a large collection genomes. It also provides a portal to the ENCODE project. We encourage you to explore these sequences with our tools. The <u>Genome Browser</u> zooms and scrolls over chromosomes, showing the work annotators worldwide. The <u>Gene Sorter</u> shows expression, homology and other information on groups of genes that can be related in many ways. By quickly maps your sequence to the genome. The <u>Table Browser</u> provides convenient access to the underlying database. <u>VisiGene</u> lets you brow through a large collection of <i>in situ</i> mouse and frog images to examine expression patterns. <u>Genome Graphs</u> allows you to upload and displ genome-wide data sets. The UCSC Genome Browser is developed and maintained by the Genome Bioinformatics Group, a cross-departmental team within the Center for Biomolecular Science and Engineering (<u>CBSE</u>) at the University of California Santa Cruz (<u>UCSC</u>). If you have feedback or questions concerning to tools or data on this website, feel free to contact us on our <u>public mailing list</u>. To view the results of the Genome Browser users' survey we conducted May 2007, click <u>here</u>. 						
Blat Table Browser Gene Sorter In Silico PCR Genome Granhs							
 Galaxy	News News Archives						
VisiGene	To receive announcements of new genome assembly releases, new software features, updates and training seminars by email, subscribe to the genome-announce mailing list. 27 August 2008 - Zebra Finch Genome Browser Released						
Proteome Browser							
Utilities Downloads	We've added the Jul. 2008 release of the zebra finch genome (<i>Taeniopygia guttata</i>) to our collection of vertebrate genome browsers. The v3.2.4 draft assembly (UCSC version taeGut1) was produced by the Genome Sequencing Center at the Washington University in St. Louis (WUSTL) School of Medicine in St. Louis, MO, USA.						
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luman (<i>Homo</i>	o sapiens) Genome Browser Gateway
	The UCSC Genome Browser was created by the <u>Genome Bioinformatics Group of UC Santa Cruz</u> . Software Copyright (c) The Regents of the University of California. All rights reserved.
	clade genome assembly position or search term image width Vertebrate Human Mar. 2006 ADH1B 45,138 submit Click here to reset the browser user interface settings to their defaults. add custom tracks
bout the Hun ne March 2006 h	nan Mar. 2006 (hg18) assembly <u>(sequences)</u> uman reference sequence (NCBI Build 36.1) was produced by the International Human Genome Sequencing Consortium.
ample position genome position pordinate range, <u>ser's Guide</u> for m	on queries n can be specified by the accession number of a sequenced genomic clone, an mRNA or EST or STS marker, or a cytological band, a chromosom or keywords from the GenBank description of an mRNA. The following list shows examples of valid position queries for the human genome. See th nore information.
equest:	Genome Browser Response:







Basic Probability Rules

- The probability of occurrence of any one of mutually exclusive events is the sum of the probabilities of the individual events
- The probability of occurrence of all of more than one independent events is the product of the probabilities of those events

Basic Probability Rules

- What is the probability of rolling snake-eyes with a pair of dice?
 - (1/6) \cdot (1/6) = 1/36
- What is the probability of rolling either snakeeyes or boxcars with a pair of dice?

- $(1/6) \cdot (1/6) + (1/6) \cdot (1/6) = 2/36 = 1/18$



At what allele frequency will a SNP most likely show a different genotype for two unrelated individuals?

The probability of different genotypes at one SNP between two unrelated individuals in the population:

$$p^{2}(1-p^{2}) + 2pq(1-2pq) + q^{2}(1-q^{2})$$
$$= 1 - (p^{4} + (2pq)^{2} + q^{4})$$
This is the discriminatory power of a marker.

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What Rules of Probability Did We Just Use?

- 1. The probability of both of two independent events is the product of the probabilities of each of the events.
- 2. The probability of just one of two (or more) mutually exclusive events is the sum of the probabilities of the individual events.



The Probability of Two Individuals Having the Same Genotype at One SNP:

 $p^{2}(p^{2}) + 2pq(2pq) + q^{2}(q^{2})$ $= p^{4} + (2pq)^{2} + q^{4}$ Technology **Transition Workshop**

SNPs for Individual Identification: IISNPs

- Find SNPs with the highest heterozygosity to minimize the probability that two unrelated individuals have the same genotype
- Find SNPs that have the smallest allele frequency variation so that the probabilities have minimal dependencies on ethnicity



Match Probabilities 40 Best SNPs with F_{st} <0.06





The Population Genetics of Ancestry/Ethnicity Inference

- Empirically allele frequencies vary around the world
- Statistically, it is well accepted that the population in which the observed genotype of the unknown is most frequent is the population the unknown is most likely to come from
- The concept of relative likelihood must be used to interpret the data

Likelihood is Related to Probability

- One considers the Probability of an outcome given a particular hypothesis: Pr(event|hypothesis)
- One considers the Likelihood of a hypothesis given a set of data, i.e., an outcome
- Likelihood is considered proportional to the Probability of the event given the hypothesis

L (hypothesis #1| dataset #1) ∝ Pr (dataset #1| hypothesis #1) L (hypothesis #2| dataset #1) ∝ Pr (dataset #1| hypothesis #2)

Because of the Unknown Constant of Proportionality, One Uses Relative Likelihoods

- The relative likelihood of hypothesis #1 relative to hypothesis #2 is the ratio of the probabilities given those hypotheses
- L (hypothesis #1)/L (hypothesis #2) =

Pr (dataset #1| hypothesis #1)

Pr (dataset #1| hypothesis #2)

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"Scientific" Issues in Forensic DNA Use

- Should labs be accredited and, if so, how?
- How can DNA be used in missing person cases?
- When does a "close" match implicate a relative?
- Forensic use of DNA is not fool proof.

While the underlying science may be rigorous, its implementation may not always be so rigorous.

Issues include chain of custody of samples, stringent laboratory procedures, careful training of technicians.

SNP Database Resource

- SNP allele frequencies are essential for forensic applications of SNP panels
- ALFRED is making those data accessible for for the scientific and forensic communities

ALFRED: the ALlele FREquency Database http://alfred.med.yale.edu



ALFRED ALFRED is a resource of gene frequency Database ALFRED is a resource of gene frequency data on human populations supported by the U. S. National Science Foundation. Home • Ethics • Search • Summaries • Documentation • Register • Contact Us ALFRED is designed to make allele frequency data on human population samples readily available for use by the scientific and educational communities.					
ALFRED Wiki Tour ALFRED	New to ALFRED? Click Here Latest Newsletter?				
Data Downloads	Register ALFRED News				
Register ALFRED flyer	If you are not sure about the exact chromosome and do not know the UID, type in the gene symbol, SNP name or rsnumber to search for a SNP.				
Contact us	Search Type:	Search Tables:			
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	Suggestions or comm	ents Kidd Lab Home			National Institute of Justice

SNP Sets in ALFRED: Both IISNPs and AISNPs

SNP Sets

Set	Citation
Interim Panel of 40 IISNPs	- Pakstis AJ, Speed WC, Kidd JR, Kidd KK. "Candidate SNPs for a Universal Individual Identification Panel". <i>Human Genetics</i> 121 :305-317. (2007) <u>Online citation.</u>
45 Unlinked IISNPs	- Pakstis AJ, Speed WC, Fang R, Hyland FCL, Furtado MR, Kidd JR, Kidd KK. "SNPs for a universal individual identification panel". <i>Human Genetics</i> 127 :315-24. (2010) <u>Online citation.</u>
Final List of 86 IISNPs	- Pakstis AJ, Speed WC, Fang R, Hyland FCL, Furtado MR, Kidd JR, Kidd KK. "SNPs for a universal individual identification panel". <i>Human Genetics</i> 127 :315-24. (2010) <u>Online citation.</u>
SNPforID 52-plex	- Sanchez JJ, Phillips C, Borsting C, Balogh K, Bogus M, Fondevila M, Harrison CD, Musgrave-Brown E, Salas A, Syndercombe-Court D, Schneider PM, Carracedo A, Morling N. "A multiplex assay with 52 single nucleotide polymorphisms for human identification". <i>Electrophoresis.</i> 27 :1713-1724. (2006) <u>Online citation.</u>
SNPforID 34-plex	- Phillips C, Salas A, Sánchez JJ, Fondevila M, Gómez-Tato A, Álvarez-Dios J, Calaza M, Casares de Cal M, Ballard D, Lareu MV, Carracedo A - The SNPforID Consortium "Inferring ancestral origin using a single multiplex assay of ancestry-informative marker SNPs". <i>Forensic</i> <i>Science International: Genetics</i> 1:273-280. (2007) <u>Online citation</u> .
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SNP Sets in ALFRED: IISNPs

Reference-

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Consider Ancestry Inference SNPs

- Considerable allele frequency differences exist among the different populations/regions around the world
- A reference database of those allele frequencies is essential
- The problem is identifying a relatively small number of SNPs with considerable information, i.e., large amounts of allele frequency variation around the world
- This is the opposite of IISNPs

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Multiple Panels of AISNPs Exist

- No single panel is necessarily going to be perfect or optimal for all questions of ancestry
- Most published panels have been tested on only a few populations and their broad applicability is uncertain
- One panel has been tested on a large number of populations: our study of the Seldin group's panel of 128 SNPs on 4871 individuals from 119 different population samples

Kosoy et al., 2009. *Human Mutation* 30:69-78 Kidd et al., 2011. *Investigative Genetics* 2:1

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SNP Sets in ALFRED: AISNPs

Reference-

Phillips C, Salas A, Sánchez JJ, Fondevila M, Gómez-Tato A, Álvarez-Dios J, Calaza M, Casares de Cal M, Ballard D, Lareu MV, Carracedo A - The SNPforID Consortium "Inferring ancestral origin using a single multiplex assay of ancestry-informative marker SNPs". *Forensic Science International: Genetics* 1:273-280. (2007) <u>Online citation.</u>





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Our Ongoing Direction to Identify and Characterize Additional AISNPs

Work to obtain data for multiple potential AISNPs with data uniformly available on a large number diverse populations.

Include Phenotype Informative SNPs whenever possible.

STRUCTURE Analyses of 2278 Individuals from 43 Populations Using 39 Provisional AISNPs



Interpreting STRUCTURE Analyses

By a search varying the parameters (allele frequencies), STRUCTURE estimates the allele frequencies of the K assumed populations that have the best "fit" to the genotypes of all individuals by placing them in one or another of the K populations. STRUCTURE does not consider prior knowledge of the origins of the individuals, but their placement into the K populations can be colored and origin displayed by grouping by known origin.

The optimal number of underlying populations (K) and pattern of assignment are determined by likelihood. The results are a function of the numbers and similarities of the individuals in the dataset analyzed.





A pilot version of our new web site/database is online. To date it has only a pilot (pre-beta) version of a function to calculate probabilities of genotypes by population for certain IISNP and AISNP panels.

http://frog.med.yale.edu

Data Input and Output Screens for IISNPs

Data input for a Mexican Pima individual

rsnumber	chromosome	chr_position	AA	AB	\mathbf{BB}	Unknown				
rs7520386	1	14027989	<mark>О</mark> аа	💽 AG	Ogg	O NN	Poculte			
rs560681	1	159053294	💿 аа	OAG	Ogg	O NN		build		
rs1294331	1	231515036	Ο ΑΑ	💿 ag	Ogg	O NN	D 1 (1			
rs3780962	10	17233352	Осс	💿 ст	Оπ	O NN	Population	Match Probability		
rs740598	10	118496889	ΟΑΑ	💿 ag	Ogg	O NN	Pima_ Mexico	1.295e-17		
rs1498553	11	5665604	Occ	Ост	Оπ	O NN	Hakka	1.564e-18		
rs10488710	11	114712386	Occ	⊙cg	Ogg	O NN	Sandawe	7.608e-19		
							Jews_ Ethiopian	4.206e-19		
\vee							Ibo	3.649e-19		
Set all unselected to unknown							Lao Loum	3.561e-19		
Compile						Biaka	3.327e-19			
						Japanese	2.67e-19			
							Yoruba	2.523e-19		
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Data Input and Output Screens for AISNPs

Data input for a Korean individual

rsnumber	chromosome	chr_position	AA	AB	\mathbf{BB}	Unknown
rs3737576	1	101482151	💿 aa	OAG	Ogg	O NN
rs7554936	1	149389113	Occ	Ост	⊙π	O NN
rs2814778	1	157441307	Occ	Ост	⊙π	O NN
rs4918664	10	94911055	O AA	OAG	💿 gg	O NN
rs174570	11	61353788	⊙cc	Ост	Оп	O NN
rs1079597	11	112801496	O aa	💿 ag	Ogg	O NN
rs2238151	12	110696216	⊙cc	Ост	Оп	O NN
rs7997709	13	33745737	Occ	Ост	⊙π	O NN
rs1572018	13	40613282	O AA	OAG	💿 gg	O NN

Set all unselected to unknown



Results

Population	Match Probability			
Koreans	2.683e-7			
Cambodians_ Khmer	4.22e-8			
Japanese	3.018e-8			
Lao Loum	1.87e-8			
Hakka	1.705e-8			
Han	9.769e-9			
Yakut	1.274e-9			
Atayal	1.092e-9			
Micronesians	1.509e-10			
Ami	4.936e-11			
Keralite	6.026e-13			
Samaritans	4.266e-13			
Melanesian_ Nasioi	2.237e-13			
Maya_ Yucatan	1.19e-14			
Khanty	3.066e-15			
Ticuna	1.623e-15			
•	• •			
Chagga	1.577e-29			
Sandawe	3.187e-30			
Ibo	9.407e-31			
Mbuti	2.12e-34			
Yoruba	4.127e-37			
Biaka	9.549e-38			



Interpreting the FROG Results

- The probabilities given are the probability of the input genotype given the allele frequencies for those SNPs in each specific population
- For IISNPs this is interpretable as the probability of another occurrence of the specified genotype
- For AISNPs this is interpretable as the likelihood of the specified genotype occurring in each population. Only the relative likelihoods are meaningful.

AISNPs and PISNPs Will Be Important for Forensic Anthropology

- STRPs provide no information on ancestry or phenotype
- Routine use of well documented panels of AISNPs and PISNPs will require that forensic labs incorporate the equipment and have the technicians trained in the protocols for SNPs
- This will overcome one of the barriers to more routine use of SNPs in individual identification



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Questions?

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