DNA Mixture Interpretation Workshop | Michael D. Coble, PhD **Modified Procedures to** FREE DNA MIXTURE **Increase Sensitivity and** INTERPRETATION the Impact on Mixture WORKSHOP nfstc⊿ 9 NFSTC | LARGO, FL | MARCH 15 -17 | 2011 **Interpretation Procedures**

NIJ Disclaimer

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DNA Mixture Interpretation

 Mixtures from high quality DNA templates with all alleles above the stochastic threshold...





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DNA Mixture Interpretation

• Mixtures from low quality DNA with alleles below the stochastic threshold...

http://thedroidguy.com/2011/03/charlie-sheen-has-some-winning-android-tiger-blood/

SWGDAM Definition of Stochastic Effects

SWGDAM Guidelines glossary:

 Stochastic effects: the observation of intra-locus peak imbalance and/or allele drop-out resulting from random, disproportionate amplification of alleles in low-quantity template



But wait... I don't do LT-DNA testing, I always amplify 1.0 ng of DNA

Amount of DNA	~ # of cells from major component	~ # of cells from minor component
1ng	129	14
0.5ng	64	7
0.25ng	32	4
0.125ng	16	2
0.0625ng	8	1

Some Definitions of Low Template (LT) DNA

- Working with <100-200 pg genomic DNA
- Considered to be data *below stochastic threshold level* where PCR amplification is not as reliable (determined by each laboratory; typically 150-250 RFUs)
- Having too few copies of DNA template to ensure reliable PCR amplification (allelic or full locus drop-out)
- Can often be the minor component of mixture samples consisting of low level DNA template amounts

Types of Results at Low Signal Intensity (Stochastic amplification potential)







Gill and Buckleton (2010)



Scientific Reasoning behind the Stochastic Threshold

- When stochastic fluctuation is present, interpreting data becomes problematic due to the potential for:
 - Allele dropout
 - Poorly defined mixture ratios
 - Low template DNA
- Bottom line: Input levels of DNA should be sufficiently high to avoid straddle data. Mixture interpretation must be evaluated cautiously on low level data as peak intensities are highly variable.

Stochastic (Random) Effects with LT-DNA When Combined with Higher Sensitivity Techniques

Loss of True Signal (False Negative)

Allelic

Drop-out

310

330

Heterozygote Peak Imbalance







Targets to improve DNA quantity and Increase Sensitivity



Gill, Curran, and Elliot (2005) NAR

A graphical simulation model of the entire DNA process associated with the analysis of short tandem repeat loci



TECHNICAL NOTE

David Sweet,¹ D.M.D, Ph.D.; Miguel Lorente,² M.D., Ph.D.; José A. Lorente,² M.D., Ph.D.; Aurora Valenzuela,² M.D., Ph.D., B.D.S.; and Enrique Villanueva,² M.D., Ph.D.

An Improved Method to Recover Saliva from Human Skin: The Double Swab Technique

REFERENCE: Sweet D, Lorente M, Lorente JA, Valenzuela A, Villanueva E. An improved method to recover saliva from human skin: The double swab technique. J Forensic Sci 1997;42(2): 320–322.



Extraction Efficiency

- Defined using several different methods
 - Full vs. Partial STR Profiles



M. Stangegaard et al. "Automated extraction of DNA from reference samples from various types of biological materials on the Qiagen BioRobot EZ1 Workstation." Forensic Science International: Genetics Supplement Series 2 (2009) 69–70



Extraction Efficiency

- Defined using several different methods
 - Number of loci successfully genotyped

- Pass/Fail System

	No. loci genoty	ped successfully n (%)		%)			
E. Milne et al. "Buccal DNA			FTA ca	rd	Buccal swab		
Buccal Swabs with FTA Cards." Cancer Epidemiol Biomarkers Prev 2006;15(4). April 2006	4 3 2 0 or 1		$101 (82) \\ 20 (16) \\ 1 (0.8) \\ 0 (0)$.8) .4) 3)	59 (48.4) 40 (32.8) 11 (9.0) 12 (9.8)		
Table 1. Success of genotyping from FTA card and buccal swabs at three loci in 122 subjects							
Locus	Buccal swabs FTA cards, <i>n</i> (overall %)						
_		Pass	Fail	Total			

		Pass	Fall	Total
MTHFR 677C>T (RFLP)	Pass	95 (77.9)	2 (1.6)	97 (79.5)
	Fail	25 (20.5)	0 (0)	25 (20.5)
	Total	120 (98.4)	2 (1.6)	122 (100)
MTHFR 677C>T (real-time PCR)	Pass	109 (89.3)	0 (0)	109 (89.3)
	Fail	13 (10.6)	0 (0)	13 (10.6)
	Total	122 (100)	0 (0)	122 (100)
ACE I/D	Pass	65 (53.3)	11 (9.0)	76 (62.3)
	Fail	37 (30.3)	9 (7.4)	46 (37.7)
	Total	102 (83.6)	20 (16.4)	122 (100)
XPD 1012G>A	Pass	107 (87.7)	0 (0)	107 (87.7)
	Fail	15 (12.3)	0 (0)	15 (12.3)
	Total	122 (100)	0 (0)	122 (100)

Typical Definition of Extraction Efficiency

The number of observed full STR profiles

• Divided into three categories:

- 1. Full Profile
- 2. Partial Profile
- 3. No Profile

K.M. Horsman-Hall et al. "Development of STR profiles from firearms and fired cartridge cases." Forensic Science International: Genetics 3 (2009) 242–250



Extraction Efficiency Results in the Literature



A. Colussi et al. "Efficiency of DNA IQ System in recovering semen from cotton swab." Forensic Science International: Genetics Supplement Series 2 (2009) 87-88.

Fig. 1. The mean DNA input used to embed one quarter of swab (in white) is compared with the mean DNA yield recovered from the quarters of swab (in black).

Liquid Blood Dilutions	Volume of Liquid Blood Extracted (µL)	BioRobot [®] EZ1, DNA (ng)	BioRobot [®] EZ1 with cRNA, DNA (ng)	Organic Extraction, DNA (ng)
1:10	0.1	8.025	10.000	7.900
1:50	0.02	0.213	2.250	1.840
1:250	0.004	0.050	0.260	0.263
1:1250	0.0008	0.000	0.040	0.038
1:2500	0.0004	0.000	0.013	0.000
		33%		33%
		0070		5570

R. Kishore et al. "Optimization of DNA Extraction from Low-Yield and Degraded Samples Using the BioRobot EZ1 ad BioRobot M48." J Forensic Sci, September 2006, Vol. 51, No 5.



Extracted Cell Line Efficiency

Swabbed 100 µL of a solution containing human epithelial cells in a Teflon tube (n=12 per quantity)









Modified Procedures to Increase Sensitivity

• See Budowle *et al.* (2009) *Croatian Medical Journal* 50: 207-217 for a number of examples.



Modified Procedures to Increase Sensitivity

- Reduced PCR volume
 - Advantages: Concentrates PCR product
 - Disadvantages: Can concentrate PCR inhibitors, can increase pipetting errors with lower volumes, decreases the volume of template added to the reaction
 - Gaines et al. (2002) J. Forensic Sci. 47(6):1224-1237
 - Leclair *et al*. (2003) *J. Forensic Sci*. 48: 1001-1013



Reduced Volume PCR

- Possibility of lower volume PCR to effectively concentrate the amount of DNA in contact with the PCR reagents
 - Gaines et al. (2002) J. Forensic Sci. 47(6):1224-1237
 - Leclair et al. (2003) J. Forensic Sci. 48: 1001-1013
- Can samples be concentrated or can extraction volume be reduced?



Leclair et al. (2003) JFS 48:1001-1013

40 uL PCR

Panel A: 2ng / 40µL (condition #4)

Panel B: 1ng / 20µL (condition #5)

Panel C: 0.500ng / 10µL (condition #6)

Panel D: 0.250ng / 5µL (condition #7)

Panel E: 0.500ng / 40µL (condition #12)

Panel F: 0.500ng / 20µL (condition #9)

Panel G: 0.500ng / 10µL (condition #6)

5 uL PCR





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Modified Procedures to Increase Sensitivity

- Whole Genome Amplification
 - Advantages: Increase the quantity of template prior to PCR
 - Disadvantages: Amplifies *all* DNA (bacterial), can preferentially amplify targets (affect PHRs)
 - Hanson and Ballantyne (2005) Analytical Biochemistry 346: 246-257

Whole genome amplification strategy for forensic genetic analysis using single or few cell equivalents of genomic DNA





Modified Procedures to Increase Sensitivity

- Increased CE injection/voltage
 - Advantages: More amplicons are electrophoretically injected into the capillary
 - Disadvantages: Can increase the analytical and stochastic thresholds
 - Westen et al. (2009) J. Forensic Sci. 54: 591-598

Higher Capillary Electrophoresis Injection Settings as an Efficient Approach to Increase the Sensitivity of STR Typing







Westen et al. (2009)




Modified Procedures to Increase Sensitivity

- Post-PCR Removal of Salts
 - Advantages: Less competition of charged ions and amplicons electrophoretically injected into the capillary
 - Disadvantages: Can increase the stochastic threshold, added expense and time for processing
 - Smith and Ballantyne (2007) J. Forensic Sci. 52: 820-829

Simplified Low-Copy-Number DNA Analysis by Post-PCR Purification





Smith and Ballantyne (2007)

PCR product	156 pg	78 pg	39 pg	20 pg	10 pg	5 pg
 1.5 μL unpurified 1.5 μL purified Entire purified product 	30	15–25	5–9	0–1	0	0
	30	30	27–28	9–19	5–13	0–5
	N/D	30	30	30	22–28	12–27

TABLE 1—Increased sensitivity with post-PCR purification.

PCR, polymerase chain reaction.

The number of alleles detected out of 30 possible alleles. Data indicate the range of alleles detected from four amplifications (two extractions amplified in duplicate). Complete profiles with or without purification were obtained for all samples amplified with 625–312 pg of DNA.









Modified Procedures to Increase Sensitivity

Nested PCR

- Advantages: Increases the number of target amplicons
- Disadvantages: Can increase the probability of contamination/allelic drop in
- o Strom & Rechitsky (1998) J. Forensic Sci. 43: 696-700

Use of Nested PCR to Identify Charred Human Remains and Minute Amounts of Blood





Modified Procedures to Increase Sensitivity

- Increased cycles, Additional Taq, Improved buffers
 - Advantages: Increases the number of target amplicons
 - Disadvantages: Increased risk of contamination/allelic drop in, increased thresholds may be necessary, stochastic effects
 - o Gill et al. (2000) FSI 112: 17-40.



Low Template DNA Work

- Early work on touched objects and single cells:
 - van Oorschot, R. A. and Jones, M. K. (1997) DNA fingerprints from fingerprints. *Nature*. 387(6635): 767
 - Findlay, I., Taylor, A., Quirke, P., Frazier, R., and Urquhart, A. (1997) DNA fingerprinting from single cells.
 Nature. 389(6651): 555-556
- Application to routine forensic casework was pioneered by the Forensic Science Service:
 - Gill, P., Whitaker, J., Flaxman, C., Brown, N., and Buckleton, J. (2000) An investigation of the rigor of interpretation rules for STRs derived from less than 100 pg of DNA. *Forensic Sci. Int.* 112(1): 17-40
 - Whitaker, J. P., Cotton, E. A., and Gill, P. (2001) A comparison of the characteristics of profiles produced with the AMPFISTR SGM Plus multiplex system for both standard and low copy number (LCN) STR DNA analysis. *Forensic Sci. Int.* 123(2-3): 215-223
 - Gill, P. (2001) Application of low copy number DNA profiling. *Croatian Medical Journal* 42(3): 229-32



New STR kits with increased sensitivity

- New STR kits are now available with new buffer formulations and polymerase already part of the master mix.
- These kits tend to be more sensitive compared to those currently used by most forensic labs.



High signal, balanced peak heights (>0.80), no artifacts, low stutter









Early Work on Replicate Testing with Low Levels of DNA

© 1996 Oxford University Press

Nucleic Acids Research, 1996, Vol. 24, No. 16 3189-3194

Reliable genotyping of samples with very low DNA quantities using PCR

Pierre Taberlet^{*}, Sally Griffin, Benoît Goossens, Sophie Questiau, Valérie Manceau, Nathalie Escaravage, Lisette P. Waits and Jean Bouvet

Laboratoire de Biologie des Populations d'Altitude, CNRS UMR 5553, Université Joseph Fourier, BP 53, 38041 Grenoble Cedex 9, France

Received May 1, 1996; Revised and Accepted July 2, 1996

In conjunction with interpretation rules, duplication of observed alleles in replicates was shown to correctly define the original sample



Forensic Science International 112 (2000) 17-40



allele drop-in

Replicate testing

introduced (up to 7 times) to account for

allele drop-out and

avoid miscalling

An investigation of the rigor of interpretation rules for STRs derived from less than 100 pg of DNA

Peter Gill^{a,*}, Jonathan Whitaker^a, Christine Flaxman^a, Nick Brown^a, John Buckleton^b

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Received 9 December 1999; received in revised form 12 February 2000; accepted 13 February 2000





New Interpretation Rules Required for LT-DNA



Replicate LT-DNA Test Results from FSS

Gill, P. (2002) Role of short tandem repeat DNA in forensic casework in the UK-past, present, and future perspectives. *BioTechniques* 32(2): 366-385.

	-			•				-		-	
	Amelo	D19	D3	D8	тно	VWA	D21	FGA	D16	D18	D2
CONTROL	хх	14,14	18,18	15,15	7 9.3	19,19	28 32.2	20,23	9,12	12,16	17,23
Sample											
1		14 F′		15 F′			28 32.2	20 F′		16 F′	
2	X F'		18 F′	15 F′		19 F′		-	12 F′		
3	X F'			15 F′				-			17 F′
4	X F′	14 F′	18 F′					_	9 12		
5	X F'		18 F′			18 F′		-			
6	X F′	14 F′				19 F′	28 32.2	20 F′		12 F′	
Consensus	X F′	14 F′	18 F′	15 F′		19 F′	28 32.2	20 F′	12 F′		

Table 2. Results of Six Replicate PCR Tests of a Sample Under Low Copy Number Analysis Conditions Compared to the Control Sample

The consensus result is reported, provided that an allele is observed at least twice. If only one allele is observed, then an F' designation is given to denote the possibility of allele drop-out.

F' used to designate that allele drop-out of a second allele cannot be discounted when only a single allele is observed (OCME uses "Z")



Suggestions for Optimal Results with LT-DNA

- Typically at least 2 3 PCR amplifications from the same DNA extract are performed to obtain consensus profiles
- An allele cannot be scored (considered real) unless it is present at least twice in replicate samples
- Extremely sterile environment is required for PCR setup to avoid contamination from laboratory personnel or other sources





D3S1358 replicates with 3 extra cycles





*Any combination of 3/5 replicates gives the correct genotype (14,19)





Examination of LT-DNA Mixtures



LT-DNA Mixture Samples

- 2 samples (male and female) were mixed together at 1:3 and 1:5 – 1 ng (1:3 and 1:5) or 100 pg (1:5) or 50 pg (1:3) total DNA
- 3 person mixture (2 males and female) were mixed together at 1:2:3 – 1 ng or 100 pg total DNA
- Identifiler Plus (28 and 31 cycles) was tested (half reactions)
- 5 replicates with 3 extra cycles
- Variability of peak heights in replicates was observed
- More minor contributor peaks were called with 3 extra cycles



Individual Mixture Components







Individual Mixture Components



Replicate Results from 3-Person Mixture (1GT:20T:3UT)



FSI-Genetics, in press

Analysis and interpretation of mixed profiles generated by 34 cycle SGM $Plus^{\mathbb{R}}$ amplification

Jon H. Wetton^{*}, John Lee-Edghill, Emily Archer, Valerie C. Tucker, Andrew J. Hopwood, Jonathan Whitaker, Gillian Tully

Forensic Science Service, 2960 Trident Court, Birmingham Business Park, Solihull B37 7YN, UK



Wetton et al. (in press)

Table 2

The final distribution of major and consensus profiles across the template input and ratio range after completion of the RO requested rework.

Ratio	Total input	Major/minor	Major/ consensus	Consensus only	Unduplicated
5:1	1 ng	12		3	1
	500 pg	10 ^a		6	
	250 pg	11 ^a	1	4	
	100 pg	3 ^a	4	9	
	50 pg	2		14	
	Total	38	5	36	1
2:1	1 ng	1		14	1
	500 pg	1 ^a		14	1
	250 pg	1 ^a		15	
	100 pg		1	14	1
	50 pg		1 ^a	15	
	Total	3	2	72	3
1:1	1 ng			8	
	500 pg			8	
	250 pg			8	
	100 pg			8	
	50 pg		1 ^a	7	
	Total	0	1	39	0

^a One observation in each of these major profile categories was due to a single individual with a possible mutation affecting the accuracy of the Quantifiler[®] concentration estimate which may have caused the input of this individual to be underestimated by half. This would tend to double its relative representation at each mixture ratio as well as the true amount of template available in the PCR. In the other rows the affected mixture was scored as a consensus.

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Summary

- There are multiple methods to increase sensitivity of low-level mixtures.
- These "enhanced interrogation" techniques should be fully validated to consider changes in thresholds AND interpretational guidelines.
- Improved collection, extraction and reamplification with more template are potential non-enhancement solutions to bringing peaks above the ST.



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 Becky Hill, Erica Butts, Peter Vallone, Dave Duewer and John Butler (NIST)

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Questions?


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